

# Locus ceruleus controls Alzheimer's disease pathology by modulating microglial functions through norepinephrine

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**Locus ceruleus (LC)-supplied norepinephrine (NE) suppresses neuroinflammation in the brain. To elucidate the effect of LC degeneration and subsequent NE deficiency on Alzheimer's disease pathology, we evaluated NE effects on microglial key functions. NE stimulation of mouse microglia suppressed A $\beta$ -induced cytokine and chemokine production and increased microglial migration and phagocytosis of A $\beta$ . Induced degeneration of the locus ceruleus increased expression of inflammatory mediators in APP-transgenic mice and resulted in elevated A $\beta$  deposition. In vivo laser microscopy confirmed a reduced recruitment of microglia to A $\beta$  plaque sites and impaired microglial A $\beta$  phagocytosis in NE-depleted APP-transgenic mice. Supplying the mice the norepinephrine precursor L-threo-DOPS restored microglial functions in NE-depleted mice. This indicates that decrease of NE in locus ceruleus projection areas facilitates the inflammatory reaction of microglial cells in AD and impairs microglial migration and phagocytosis, thereby contributing to reduced A $\beta$  clearance. Consequently, therapies targeting microglial phagocytosis should be tested under NE depletion.**

neuroinflammation | amyloid beta | neurodegeneration | phagocytosis

Alzheimer's disease (AD) is characterized by neocortical and hippocampal atrophy due to neuronal loss, the deposition of A $\beta$  peptides, and the formation of neurofibrillar tangles. In addition, there is a progressive degeneration of cholinergic nuclei in the basal forebrain and of noradrenergic nuclei in the brainstem, most importantly the locus ceruleus (LC). This nucleus is the major source of norepinephrine (NE) supply in the mammalian brain. The LC provides the neurotransmitter via an extensive network of neuronal projections to all major brain regions. These regions include the neocortex and hippocampus, the seat of cognitive functions, learning, and memory.

Research dating back to the 1960s implicated LC degeneration in the pathogenesis of AD (1–3). Of particular relevance, several studies show that AD patients present with a prominent loss of LC cells, reaching 70% within the rostral nucleus and causing reduction of cortical and limbic NE levels (4). The drop in NE concentration tightly correlates with the progression and extent of memory dysfunction and cognitive impairment. Degeneration of LC neurons has been observed in patients exhibiting “mild cognitive impairment” (MCI) (5), an early form of AD, with 80% of MCI patients eventually succumbing to full AD (6).

Degeneration of LC neurons results in progressive loss of two different types of axons, those with either conventional synaptic contacts or varicosities. Varicosities are believed to release transmitter extrasynaptically into the microenvironment, where it may act on surrounding neurons, glial cells, and blood vessels (7). Locally diffusing NE is thought to execute additional functions apart from its role as a classical neurotransmitter. Indeed,

NE negatively regulates transcription of inflammatory genes in astrocytes and microglia (8), both expressing functional adrenergic receptors (9). In addition, it has been shown, that this effect is mediated by  $\beta$ 2-adrenergic receptors (9). Therefore, it has been proposed that NE serves also as an endogenous anti-inflammatory agent.

We recently found that LC degeneration and NE deficiency modulate the level of A $\beta$  deposition in an APP-transgenic mouse model of AD (10). In the present study, we test the role of NE as a positive regulator of microglial A $\beta$  clearance in vitro and in vivo.

## Results

**NE Suppresses Microglial Transcription of Proinflammatory Genes.** Exposure of microglial cells to fibrillar A $\beta$ 1–42 (fA $\beta$ 1–42) for 4 h caused a rapid induction of proinflammatory gene transcription for TNF $\alpha$ , CCL2 (MCP1), iNOS, and COX2, whereas housekeeping genes L32 and GAPDH were not influenced. The presence of NE during the stimulation with fA $\beta$ 1–42 almost completely abolished the inflammatory response (Fig. 1A). In vivo analysis of NE-deficient APP transgenic mice revealed a similar regulation of inflammatory gene transcription (Fig. S1).

**NE Suppresses Microglial Cytokine and Chemokine Production.** To extend the findings from the transcriptional level, we determined the influence of NE on a panel of cytokines and chemokines typically produced by activated microglia (9). NE or the  $\beta$ -adrenoreceptor agonist isoproterenol (Iso) induced a dose-dependent decrease in TNF $\alpha$  secretion (Fig. 1B). NE and isoproterenol also efficiently suppressed signals for the chemoattraction of monocytes (CCL2), Th1 cells (CCL3), and Th1/Th2 cells (CCL5) during A $\beta$  stimulation (Fig. S2). These results are in accordance with our transcriptional analysis (Fig. 1A). Interestingly, the secretion of CXCL1 (KC, mouse equivalent of human GRO $\alpha$ ), which represents a signal for the recruitment of neutrophils, lymphocytes, and monocytes, was almost unaffected (Fig. 1B and Fig. S2). Immunohistochemical detection of astroglial and microglial reactivity in vivo showed that induction of NE deficiency increased GFAP expression and microglial activa-

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addition, we revealed reduced recruitment of microglia to amyloid plaques, suggesting that NE depletion also decreased attraction of microglial to amyloid deposits (Fig. 3 *E* and *F*). Taken together, NE depletion could promote amyloid plaque formation in vivo by inhibition of both recruitment of microglia to plaques and phagocytosis of A $\beta$ .

**Pharmacological Rescue of NE Levels in NE-Depleted APP-Transgenic Animals Restores Microglial A $\beta$  Clearance.** To further substantiate these findings, we conducted an adoptive transfer experiment by injecting primary murine microglial cells from CX<sub>3</sub>CR1-EGFP-transgenic mice into the cortex of 16-month-old APPV7171-transgenic recipient mice cells (Fig. 4 *A* and *B*) with or without previous NE depletion by DSP4. After 24 h, brains were dissected and serial sections stained for A $\beta$ <sub>1-42</sub>. NE depletion caused a significant decrease of total migration distance of donor microglia as well as of A $\beta$  positive microglial cells (Fig. 4*C*). Peripheral administration of the NE precursor L-threo-DOPS results in increased levels of NE within the cortex and hippocampus, as described in ref. 12. Importantly, treatment of APP-transgenic mice with L-threo-DOPS prevented the decrease of microglial migration and A $\beta$  phagocytosis in NE-depleted mice (Fig. 4*C*). These results are in line with our in vitro findings (Fig. 1 *C-F*).

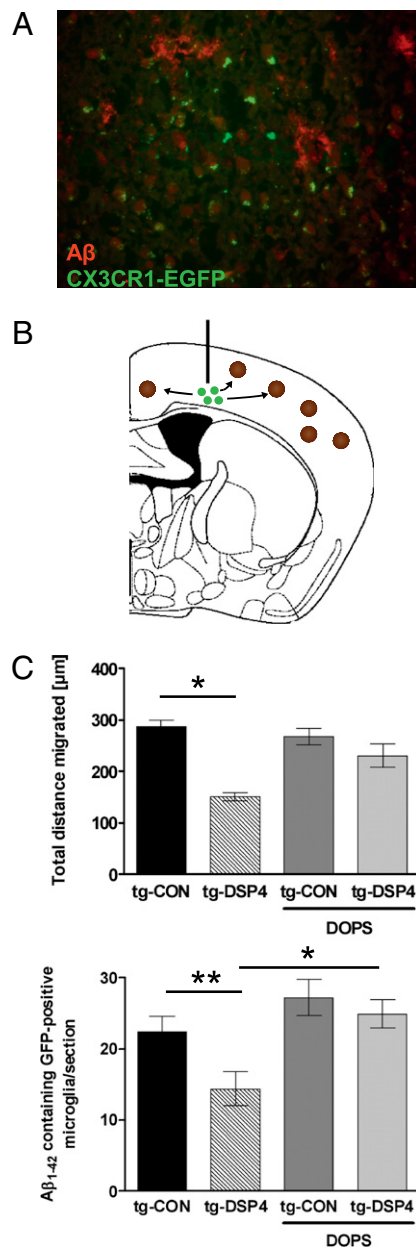
## Discussion

LC degeneration and loss of LC-derived axons are associated with decreased NE levels in target forebrain regions in AD patients (4, 13, 14). Although these studies have assessed the loss of LC-noradrenergic neurons, it remains unclear when LC cell death starts and whether this structural degeneration is preceded by a significant period of dysfunction of noradrenergic LC neurons. However, the reduction of LC neurons positively correlates with A $\beta$  plaque density, NFT numbers, and the severity of dementia (3). Importantly, the loss of LC neurons was found to be more extensive and to correlate better with the progression of AD than the cholinergic cell loss observed in the nucleus basalis of Meynert (15, 16). In contrast, compensatory mechanisms regarding NE levels in the CSF and the mRNA expression of  $\alpha$ 2-adrenoreceptors in the hippocampus of AD patients have been suggested (17–19). However, it is unclear whether increased NE measured from the CSF is congruent with the NE secreted from LC projection areas, but being produced in the brainstem or by terminally degenerated LC neurons.

Despite several neuropathological and clinical correlations that clearly indicate an association of LC impairment and noradrenergic degeneration with the extent of disease, it remained enigmatic how LC cell death influences AD pathogenesis.

Whereas NE contributes to normal acquisition, consolidation, and retention of certain learning tasks under physiological conditions (20), it has also been described as a potent anti-inflammatory agent in several pathological paradigms (8). Although inflammation is basically a protective host response, uncontrolled or chronic inflammation can cause significant functional disturbance and structural damage—especially in a vulnerable tissue such as the CNS. NE would thus confer a strategic control over key immunoregulators, like TNF $\alpha$ , and serve as a gatekeeper for the emission of chemoattractants by local microglial populations.

Confirming and extending the cell-based findings by in vivo models, we show that chronic NE depletion in APP-transgenic mice increases the degree of neuroinflammation in areas usually innervated by LC. This finding suggests that the early degeneration of LC neurons and their terminals, which will result first in a local but later in an overall NE deficiency, may facilitate the inflammatory reaction in response to A $\beta$  deposition in the AD brain. Given the fact that several inflammatory molecules have been found to impair neuronal functions that contribute to memory formation and consolidation, such as long-term potentiation (20–



**Fig. 4.** Decrease of microglial migration after NE depletion in vivo is rescued by the NE-precursor L-threo-DOPS. (A) APPV7171-transgenic mice treated with DSP4 or solvent control received a single injection of primary murine microglia derived from CX<sub>3</sub>CR1-EGFP-transgenic mice. A subgroup of animals in both DSP4-treated and control groups received three i.p. injections of the NE precursor L-threo-DOPS (DOPS) over 24 h to increase NE levels within the neocortex. Shown is confocal laser scanning microscopy of A $\beta$  plaques and EGFP-positive microglial cells. (Scale bar: 50  $\mu$ m.) (B) Scheme of the intracerebral injection site depicting the migration of EGFP-positive microglial cells (green) toward amyloid plaques (brown). (C) The total distance migrated and the number of EGFP-positive microglial cells per section was evaluated by analyzing serial sections with a defined distance to each other and the injection site ( $n = 6 \pm$  SE; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ , one-way ANOVA, Tukey's post hoc test).

22), NE deficits may directly contribute to early neuronal dysfunction by subsequent elevation of inflammatory molecules. Next, it has been shown that proinflammatory cytokines, such as TNF $\alpha$  and IL-1 $\beta$ , can alone or in concert up-regulate the secretion of A $\beta$  by increasing key players of the APP processing (23). Although we were not able to confirm this mechanism in this study,

it cannot be fully excluded that LC degeneration may indirectly enhance the production of A $\beta$  via its permissive effect on neuroinflammation.

Taken together, these data suggest that NE acts, beyond its role as a neurotransmitter, as an important regulator of microglial functions facilitating A $\beta$  clearance. Migration to and phagocytosis of A $\beta$  are likely to represent important cerebral decomposition mechanisms to respond to chronic A $\beta$  deposition. In addition, other mechanisms of the neuroprotective effects of NE have been suggested. It has been shown that NE also acts on astrocytes resulting in the secretion of the neuroprotective chemokine MCP-1 (24) and that it is able to protect neurons from A $\beta$ -induced damage involving PPAR $\delta$  and GSH-synthesis (25).

Based on the findings of this study, one can hypothesize that LC degeneration favors the inflammatory reaction to A $\beta$  while impairing its clearance at the same time—in particular, in a microenvironment where degeneration of a specific LC neuron and its projection has caused substantial drop of NE release. Even if remaining LC neurons show an increased activity in an attempt to compensate for the ongoing degeneration (17, 19), thereby generating at their terminals locally high NE levels, it seems likely that progressive LC degeneration may consequently cause neuroinflammation and a paralysis of phagocytotic clearance that first affects single spots but later the entire LC projection area. Such a chronic impairment of microglial A $\beta$  clearance may ultimately contribute to the progression of the disease itself. Although abundant A $\beta$  may drive proinflammatory cascades, the very depletion of NE lifts also the control over microglial proinflammatory release function affecting the major sentinels for homeostatic surveillance and maintenance (26).

It seems important to note that acute treatment of APP-transgenic mice with the NE precursor L-threo DOPS, which is in clinical use for the treatment of multiple system atrophy and depression, can reinstall the capacity of microglial cells to migrate and phagocytose A $\beta$ , a phenomenon worth exploring therapeutically. Other therapeutic strategies targeting microglial functions, such as antibody-tag boosting of phagocytosis via vaccination against A $\beta$ , should be evaluated in animal models under conditions of noradrenergic depletion. Given the fact that NE suppresses brain inflammation and enhances A $\beta$  phagocytosis at the same time, restoration of brain NE levels may exert a desirable effect and thereby support vaccination strategies in AD.

## Methods

**Animals.** Ten-month-old female APPV7171-transgenic mice (27) and 6-month-old female APP/PS-1-transgenic mice (28) were used. For the DSP4 treatment, we followed our published protocol (10), meaning that the initiation of any DSP4 treatment experiment consisted of two DSP4 i.p. injections at days 1 and 7 at a concentration of 50 mg/kg. Thirty minutes before DSP4, animals received fluoxetine (10 mg/kg) to protect serotonergic fibers, thereby increasing the selectivity of DSP4 for noradrenergic neurons. There were no further i.p. administrations of DSP4, when animals were analyzed within 8 weeks after first dosage. For longer observational periods, animals received monthly injections of DSP4 (50 mg/kg) to inhibit noradrenergic compensatory mechanisms (29–31). For *in vivo* microscopy of microglia, we crossed heterozygous C57BL/6 TgH(CX3CR1-EGFP) mice with APP/PS-1-transgenic mice. Mice were housed in groups of four under standard conditions with free access to food and water. Animal care and handling was performed according to the declaration of Helsinki and approved by local ethical committees.

**Primary Cell Culture.** Microglial cells were prepared as described in ref. 32.

**Multiplex Ribonuclease Protection Assay (RPA).** Primary murine microglia were exposed to A $\beta_{1-42}$  (aged at 37 °C for 3 days) for 2 h in the presence or absence of NE at 10  $\mu$ M. Total RNA was extracted using the RNeasy mini kit (Qiagen). Multiplex RPA was performed using a customized BD RiboQuant RPA kit (BD Bioscience) according to the manufacturer's protocol. The customized multiprobe template set consisting of TNF $\alpha$ , iNOS, COX2, IL-1 $\beta$ , MCP-1, IL-8, IFN $\gamma$ ,

L32, and GAPDH was used to generate antisense riboprobes labeled with [<sup>32</sup>P] UTP using the RiboQuant *in vitro* transcription kit. RNA was hybridized for 16 h at 56 °C. Samples were treated with RNase A/T1 mixture and proteinase K, separated by 4.75% denaturing polyacrylamide gel electrophoresis, and blotted, and signals were detected by phosphorimaging.

**APP Processing and A $\beta$  Secretion.** Neuro-2a cells stably overexpressing Swedish APP695 (N2a APPsw) (provided by G. Thinakaran, University of Chicago) were incubated for 18 h in medium containing increasing concentrations of NE (Sigma). Cells were lysed in 25 mM Tris-HCl (pH 7.5), 1% Triton X-100, and 150 mM NaCl on ice and afterward centrifuged at 20,000  $\times$  g for 15 min. Forebrains of 6-month-old mice were homogenized in PBS containing 1 mM EDTA and EGTA and protease inhibitor mixture, further extracted in RIPA buffer [25 mM Tris-HCl (pH 7.5), 150 mM NaCl, 1% Nonidet P-40, 0.5% NaDOC, 0.1% SDS], and centrifuged at 20,000  $\times$  g for 30 min, and the pellet was solubilized in 2% SDS, 25 mM Tris-HCl (pH 7.5). Samples were separated by NuPage and immunoblotted using antibodies 6E10 (Covance), antibody 140 (33), Anti-PS1-NT (Calbiochem), and antibody E7 (Developmental Studies Hybridoma Bank), followed by incubation with appropriate secondary antibodies. Immunoreactivity was detected by enhanced chemiluminescence reaction (Millipore).

**A $\beta$  ELISA.** Quantification of A $\beta$  was performed using human Amyloid  $\beta_{1-40}$  and  $\beta_{1-42}$  ELISA kits (The Genetics Company) according to the manufacturer's protocol.

**Phagocytosis of FITC-Labeled A $\beta$ .** Microglial cells ( $5 \times 10^6$  per mL) were incubated with 150 nM FITC-labeled A $\beta_{1-42}$  (FITC-A $\beta$ ) (Anaspec) for 4 h at 37 °C, and 100 nM to 10  $\mu$ M NE or isoproterenol was added. Microglia were treated with 250  $\mu$ g/mL trypsin/EDTA. Mean fluorescence intensity (MFI) was measured on a FACScan (Becton Dickinson). Microglial A $\beta$  phagocytosis was verified by confocal laser scanning microscopy (LSM 510; Zeiss) using antibody MCA711 against CD11b (Serotec) and LysoTracker Red (Invitrogen).

**Microglial Migration *In Vitro*.** Migration of murine microglial cells was assessed using a Boyden chamber (AP48; NeuroProbe) with an 8- $\mu$ m polycarbonate PVPF-filter (Osmonics) in the absence and presence of TNF $\alpha$  (1 ng/mL) or fibrillar A $\beta_{1-42}$  and coadministration of NE or isoproterenol (10 nM to 10  $\mu$ M) in DMEM containing 2.5% FCS. Incubation was performed at 37 °C for 4 h. Cells on the upper surface of the filter were scraped off, and the filters were fixed in methanol, stained with DAPI, and counted using ImageJ.

**Microglial Cytokine and Chemokine Induction.** Primary microglial cells were stimulated in 96-well plates (15,000 cells per well) with aged A $\beta_{1-42}$  peptide at 1  $\mu$ M for 18 h either in the absence or presence of increasing concentrations of NE or isoproterenol (10 nM to 100  $\mu$ M) or in case of CCL-2, CCL-3, CCL-5 and IL-12 (IL-12p70, IL-23, IL-12p40 and IL-12p40<sub>2</sub>) with 8.2  $\mu$ M NE. Supernatants were analyzed for CXCL1 and TNF $\alpha$ , CCL-2, CCL-3, CCL-5, and IL-12 as described in ref. 9.

**Immunohistochemistry.** Immunohistochemistry was performed as described in refs. 10 and 34. Sagittal sections were incubated with antibody 32020 against iNOS (1:100; Transduction Laboratories), antibody 160116401 against COX2, (Cayman Chemicals), antibody MCA711 against CD11b, (Serotec), antibody MAB 377 against neuN (Chemicon), anti-tyrosine-hydroxylase AB152 (Chemicon), antibody MAB360 against GFAP (Chemicon) and antibody 44–344 against A $\beta_{1-42}$  (BioSource International). Quantification of the cellular loss of LC neurons was carried out by manual cell counting using merged images of TH-IR and DAPI stainings of the medial part of LC. The quantification of the TH-immunoreactive area of the LC was done using Cell<sup>^</sup>P (Olympus).

**Quantification of A $\beta$  Immunohistochemistry.** For quantitative image analysis of hippocampal and cortical immunostaining, serial sagittal sections of one hemisphere of eight animals from each group were examined. For each animal, 20 parallel sections having a distance of 70  $\mu$ m showing both the hippocampus and cortex were analyzed. Total stained area and integrated staining density (sum of all individual optical densities of each pixel in the area being measured) were determined and given as a percentage of stained area per region.

***In Vivo* Labeling of A $\beta$ .** 10 mg/kg methoxy-X04 [5 mg/mL in 50% DMSO, 50% NaCl (pH 12)] was injected i.p. 2 h before surgery (35). Surgery and imaging were performed under general volatile isoflurane anesthesia. Rectal tem-

perature was maintained between 36 and 38 °C. We used the thin-skull preparation using a drill (Fine Science Tool) over an area of 2 mm<sup>2</sup> above frontal cortex until the bone became flexible (50 μm thickness). After imaging, the skin was sutured and the mice were moved to a heated cage where they received i.p. and then s.c. injections of 0.05 mg/kg buprenorphine (Temgesic; Essex Pharma) every 8–12 h for 3 days. The whole procedure lasted <4 h.

**In Vivo Imaging.** To recognize the imaged site at each session, epifluorescence overviews of the cortex were acquired using a ×20, N.A. 1.0, water-immersion objective (Zeiss) and a CCD camera. High-resolution imaging was performed with a custom made two-photon laser scanning microscope (2P-LSM) equipped with an infrared fs-pulsed Ti:Sa laser (Chameleon Ultra; Coherent). ScanImage software (Version 3, Release 1) (36) was used to drive the 2P-LSM. To image amyloid deposits and microglia, we acquired z-stacks first at 750 nm (for methoxy-X04) and then at 925 nm (for EGFP). Optical filters were as follows: 735-nm long pass dichroic filter, 680-nm short pass emission filter, 750-nm long pass dichroic filter, and 510 ± 41-nm band pass filter (Semrock). The signal was collected by a photo-multiplier tube (Hamamatsu). Parallel, uniformly spaced (2–2.4 μm) planes of 120 × 120-μm<sup>2</sup> to 360 × 360-μm<sup>2</sup> regions of the frontal cortex were acquired, digitized, and processed to obtain z-stacks of images (512 × 512 pixels in size). Voxel size ranged from 0.23 × 0.23 × 2 μm<sup>3</sup> to 0.7 × 0.7 × 2.4 μm<sup>3</sup> regarding the xyz-axes. For quantification, see *SI Methods*.

**Microglia Adoptive Transfer Experiments.** Microglia was prepared at P1 from heterozygous CX<sub>3</sub>CR1-EGFP transgenic offspring, generated by targeted replacement of the CX<sub>3</sub>CR1 gene with the cDNA encoding EGFP (37). APPV7171-transgenic recipient treated with DSP4 or solvent control at 12

months of age received a single intracranial injection of primary murine microglial cells mice at 16 months of age. A subgroup of animals in the DSP4-treated and control groups received three i.p. injections of L-threo-DOPS over 24 h as described in ref. 12. For intracranial injection of cells, recipient mice were anesthetized with ketamine (30 mg/kg) and xylazine (4 mg/kg) and placed into a stereotaxic frame (Stoelting) maintaining body temperature at 37 °C. CX<sub>3</sub>CR1-EGFP-positive microglial cells (5 × 10<sup>5</sup>) in 5 μl were injected intracortically into the right hemisphere anteroposterior −2.5, lateral 2.0, and ventral 1.0 mm relative to the bregma at a rate of 1 μl/min. Twenty-four hours later, mice were killed and perfused with PBS, and their brains were removed. Ten-micrometer-thick serial cryosections (10 per mouse, n = 8) with a defined distance were stained for Aβ using antibody 2964 as described in ref. 33 and analyzed by confocal microscopy.

**Statistical Analysis.** Data were analyzed by using Student's t test, one-way ANOVA followed by Tukey's post hoc test, or two-way ANOVA followed by Bonferroni post hoc test using GraphPad Prism 5.

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