When prediction is fulfilled: Insight from emotion processing

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Research on prediction in language processing has focused predominantly on the function of predictive context and less on the potential contribution of the predicted word. The present study investigated how meaning that is not immediately prominent in the contents of predictions but is part of the predicted words influences sentence processing. We used emotional meaning to address this question. Participants read emotional and neutral words embedded in highly predictive and non-predictive sentential contexts, with the two sentential contexts rated similarly for their emotional ratings. Event Related Potential (ERP) effects of prediction and emotion both started at ~200 ms. Confirmed predictions elicited larger P200s than violated predictions when the target words were non-emotional (neutral), but such an effect was absent when the target words were emotional. Likewise, emotional words elicited larger P200s than neutral words when the target words were non-predictive, but such effect were absent when the contexts were predictive. We conjecture that the prediction and emotion effects at ~200 ms may share similar neural process(es). We suggest that such process(es) could be affective, where confirmed predictions and word emotion give rise to ‘aha’ or rewarding feelings, and/or cognitive, where both prediction and word emotion quickly engage attention.

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1. Introduction

The extent to which stimuli and the context in which the stimuli occur contribute to processing is of key interest to cognitive sciences. Here we investigated the interaction between words and their preceding context during sentence processing. Numerous studies have examined sentence context driven prediction: Information retrieved from the sentential context can pre-activate aspects of upcoming words prior to their occurrence (e.g., Huettig, 2015, for recent review). Many linguistic features of a predicted target word are activated this way, including semantic/conceptual (Kutas and Federmeier, 2000; Federmeier et al., 2002), conceptual-perceptual (Rommers et al., 2013), morpho-syntactic (Van Berkum et al., 2005; Wicha et al., 2003, 2004), phonological (DeLong et al., 2005), and orthographic features (Kim and Lai, 2012; Fedirmeier and Laszlo, 2009). In contrast, less research has been done with regard to how meaning cued by the target word interacts with context to impact sentence processing.

The current study used Event Related Potentials (ERPs) to investigate the time course of the contributions of word meaning not immediately prominent or predictable given the sentential context. To this end we used emotionally loaded words, based on the assumption that if any aspect of word meaning were to be activated fast, the emotional aspect would be a good candidate (Zajonc, 2000, but see Storbeck et al., 2006). Emotional words and neutral words were embedded in highly predictive sentential contexts. Critically, the emotional content difference in the contexts between the emotional and neutral conditions was minimized such that the emotional difference became clear only after the emotional and neutral target words appeared. This design allowed us to test the contribution of the emotional meaning in the predicted word.

Most ERP studies have associated prediction of language meaning with the N400 component. The N400 is a negative deflection occurring in the 300–500 ms time range post word onset. N400 effects have been found for words that are semantically incongruent with its prior sentential context, relative to those that are congruent (Kutas and Federmeier, 2011). Such effects have

1 It is possible that some emotion associated with the main purport of the prediction (i.e., the target word) can be activated prior to the onset of the predicted word. We dealt with this first by matching the mean emotional ratings of the pre-target contexts between emotional and neutral conditions, and second by focusing on the effects (i.e., subtracting the experimental condition from its control) rather then a given condition in isolation.
been argued to support prediction, as readers obviously cannot predict any semantically incongruent word prior to the onset of those words. However, the very same effect can also be argued to support post-lexical integration. In this case, readers need not form predictions proactively – they could be waiting for the target word before activating the word meaning and integrating the activated word meaning with its context post-lexically. Recent studies demonstrated that, integration or not, the N400 effect is affected by prediction (Van Berkum et al., 2005; DeLong et al., 2005; Kutas et al., 2011). DeLong et al. (2005) examined contextually constraining sentences (e.g. The day was breezy so the boy went outside to fly a . . . ) followed by a predicted / semantically congruent word (kite) and an unpredicted / less semantically congruent word (airplane). Not surprisingly, classic N400 effects were found for the unpredicted / incongruent relative to the predicted / congruent words, supporting both prediction and integration accounts. Critically, N400 effects were found for the indefinite article before the unpredicted words relative to the one before the predicted words (a), supporting the prediction account. This suggests that the readers had the predicted word in mind along with its indefinite article before the onset of the target word. A recent study has localized the effects to 350–450 ms (Lau et al., 2014), which very likely represents a time course difference between the N400 effect elicited by semantic violation and the N400 effect elicited by prediction violation.

Several language prediction studies have also reported modulations of the Late Positive Component (LPC). Low-predictive words elicited more positive LPCs than high-predictive ones (Van Petten and Luka, 2012; DeLong et al., 2014). The LPCs in these studies have a less distinct time frame, and tend to occur at ~600 ms. The scalp distributions of the prediction LPC effects can be posterior or anterior, depending on the plausibility of the target words given their contexts (DeLong et al., 2014). When the target word is not plausible (i.e., anomalous), the LPC effect for low-predictive relative to predictive continuations typically shows a posterior distribution. When the target word is plausible, the LPC effect shows an anterior distribution. Note that the posterior LPC effect is the effect that has been mostly reported in general language literature, associated with discourse-level repair and re-interpretation of semantically ill-constructed language (Kuperberg, 2007; Brouwer et al., 2012). The anterior LPC effect has been implicated in the frontal inhibition network suppressing competing plausible meanings (DeLong et al., 2014).

Early cortical responses to emotional words relative to neutral words have been reported in many single word studies (Citron, 2012). The early effects range from as early as 80–120 ms (Hofmann et al., 2009a) to 200–300 ms (Kissler et al., 2007; Kanske and Kotz, 2007; Herbert et al., 2008). It has been suggested that these early effects reflect the allocation of attentional resources to the arousing dimension of the emotional words (Schacht and Sommer, 2009; Schupp et al., 2004). Late ERP effects for emotional words, such as Late Positive Potentials (LPP), are even more commonly reported (Kanske and Kotz, 2007; Schacht and Sommer, 2009; Hinojosa et al., 2010). However, the directionality of the late emotion effects varies from study to study, and is likely to be driven by tasks (Fischer and Bradley, 2006). These late effects in single word studies have been argued to reflect the valence dimension or/and the arousal dimension (Bayer et al., 2012; Recio et al., 2014).

A number of studies have examined the processing of emotional words when the words are embedded in emotionally neutral sentences that are non-predictive but sensible. Scott et al. (2012) focused on two factors of bottom-up word processing: word frequency and word valence. A word frequency by valence interaction was found in the 135–180 ms range. Specifically, people fixated on emotional words shorter than they did on neutral words, but only in the low-frequency conditions, not in the high-frequency conditions. The authors suggested that the emotional content increases automatic vigilance but decreases sensitization, both of which play an important role in the processing of word frequency. Holt et al. (2009) examined emotional words embedded in passages such as “Colin decided to walk to the market. On the way he saw a snake/diamond/button on the ground”. Similar to Scott et al. (2012), the passages were emotionally neutral, non-predictive, and sensible. N400 effects were found for the emotional words (snake/diamond) relative to the neutral words (button). The authors suggested that the emotional features of the emotional words lead to deeper semantic analysis. Notably, their reported N400 effect ranged from 325 to 425 ms, different from the typical N400 time frame of ~300–500 ms. While Holt et al. (2009) used an early N400 time window to minimize its overlap with the LPC emotion effect following N400, it is possible that this negativity effect is related to the posterior negativity effects from 150 to 300 ms reported in emotional word and non-linguistic stimuli (Herbert et al., 2008; Kissler et al., 2007).

Delaney-Busch and Kuperberg (2013) examined emotional and neutral words embedded in emotional and neutral contexts. In the neutral context conditions (e.g., Lucy was a female engineer. Her creations were big bridges/murals every time.), the semantically incongruent words (murals) elicited more negative N400s than the congruent words (bridges), replicating the vast N400 literature. In the emotional context conditions (e.g., Lucy was an awful/great engineer. Her creations were big failures/successes every time), emotion was manipulated via a positive word (great) and a negative word (awful). The N400 congruity effect was attenuated for emotional words, which subsequently yielded an LPC emotion effect regardless of congruity. The authors argued that the emotional contexts may have acted as a task which oriented the readers’ attention toward the emotional properties of the incoming words, prioritizing emotional meaning and bypassing conceptual-semantic meaning. The authors suggested that this LPC effect may reflect the evaluation of the then focused emotional meaning.

In short, prediction accumulated in the pre-target context, when not met by the target word, elicited N400 and posterior LPC effects, which have been theorized to index semantic retrieval and semantic reanalysis, respectively. Second, the emotional content of words, when contrasted with neutral words, gave rise to early effects at ~200–300 ms and late positivity effects, which have been suggested to reflect emotional arousal and emotional valence. Third, while N400 effects for emotion in single word studies are actually quite uncommon (Kissler et al., 2006), emotional words embedded in neutral and non-predictive (but sensible) sentences have been reported to elicit larger negativity effects than neutral words in the 325–425 ms time frame. The negativity effects have been suggested to reflect deeper semantic processing for emotional than for neutral words in sentences. Finally, emotional words in emotional contexts/tasks, when mismatched with context emotionally, elicited a LPC effect, which has been suggested to index affective evaluation.

The present study examined emotional and neutral words in predictive and non-predictive (anomalous) sentences, to assess the contribution of emotional word meaning and contextually driven prediction of meaning during sentence processing. Based on the reviewed literature, we expected that the ERP effects associated with the contribution of emotional word meaning should emerge as an early effect before ~300 ms and as a late positivity after ~500 ms. The ERP effects associated with the contextually driven prediction should emerge as a negativity in the 300–500 ms time frame and as a late positivity effect after ~500 ms. Of particular interest was when the prediction effect and the emotion effect interact.
2. Material and methods

2.1. Participants

Thirty-three right-handed native Dutch speakers at the Radboud University Nijmegen participated in the experiment for payment. All had normal or corrected-to-normal vision, and none had any language disorder, neurological disorder, or major head injury diagnosed to have long-term side effects. All gave informed consent before participation. Data were discarded from 5 participants: 4 due to technical failures and 1 due to blinking artifacts. The remaining twenty-eight participants (4 male, average age 21.2, range 18–27) were included in the analysis.

2.2. Materials and design

A 2 × 2 factorial design was employed: 2 pre-target sentential context predictability (predictive, non-predictive) × 2 target word arousal (emotional, non-emotional). This results in 4 conditions: [p + e −] predictive context and emotional target, [p + e −] predictive context and non-emotional target, [p − e +] non-predictive context and emotional target, and [p − e +] non-predictive context and emotional target. Examples are available in Table 1 and full materials, Supplementary materials.

The materials used in the eventual EEG experiment consisted of 53 quadruplets (212 sentences), which were created and selected based on the following steps: First, approximately 100 pairs of emotional words and neutral words were selected as the target words from a Dutch affective norm (Moors et al., 2013), such that the mean cloze probabilities, valence ratings, arousal ratings, and concreteness ratings were matched between the emotional and neutral ones. The ratings for the selected 53 quadruplets are summarized in Table 2. In particular, the valence ratings were re-conceptualized as distance from the neutral (5 on the 1–9 scale), termed ‘Val-Arousal’, and were calculated by subtracting 5 from the raw valence ratings. This essentially provided another way of parameterizing how arousing (negative or positive) an emotional item is from neutral. Confirming our manipulations, both of the arousal dimensions for target words were significantly different (p < .0001), and neither of the arousal dimensions for the pre-target contexts was different (n.s.). The quadruplets were then put into 4 lists via Latin Square rotation, and items within a list were randomized. All EEG participants saw all 4 lists but in different orders. List order is counterbalanced with participant number.

In addition to the sentences we also created comprehension questions for each of the sentences, to ensure participation. The comprehension questions were yes/no questions, and were never focused on the target words.

2.3. Procedure

Each session started with a 30-min EEG setup. During the setup, participants filled out the Edinburgh Inventory of Handedness. After the setup, participants entered an electrically shielded, soundproof, and dimly lit room. They sat in a comfortable chair at a desk looking at a computer screen about 70–80 cm away from the eyes, resulting in a visual angle range between 1° and 2° depending on the word length but not bigger than 2°.

Participants did passive reading while a sentence was presented to them word by word in each trial on the computer screen, using the software Presentation. The words were white on a black background, in Arial font, 20-point font size, and in sentence-case. Each word was presented for a length-dependent duration based on the following formula: If a word has fewer than 8 letters, multiply the number by 27 milliseconds (ms) plus 187 ms. If a word has more than 8 letters, use the duration for 8 letters. The Inter-word Interval was a black screen presented for 150 ms. The last word was presented with a period, followed by the Inter-trial Interval (ITI) of 1 s. At the end of a trial, the participant needed to press a button to continue to the next trial.

A comprehension question was presented after 25% of the trials. For each participant, the set of trials to be followed by a comprehension question were randomly selected. The comprehension appeared after the ITI, and remained on the screen until the participant answered the question with a button press (Yes/No).

Participants were instructed to refrain from blinking and moving during word presentation, but were encouraged to blink or rest their eyes between trials and during the comprehension questions. 8 practice trials were given prior to the formal experiment. Each EEG session lasted approximately 1.5–2.0 h.

2.4. EEG recording and analyses

Continuous EEG was recorded from 60 surface active electrodes placed in an elastic cap (Acticap, Brain Products, Germany) arranged in an equidistant montage (Fig. 1). The left mastoid electrode served as the reference, and a forehead electrode served as the ground. A supra- to suborbital bipolar montage was used to monitor vertical eye movements (i.e., 53 and VEOG), while a right to left canthal bipolar montage was used to monitor horizontal eye movements (i.e., 57 and 25). Impedances were maintained below 5 kΩ and digitized at 500 Hz with a 100 Hz high cut-off filter and a 10 s time constant (0.1–100 Hz band-pass).

Brain Vision Analyzer 2.0 was used to process the EEG data. The EEG data were re-referenced off-line to the average of both mastoids, and low-pass filtered at

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There are known caveats for parameterizing prediction with cloze probability measure. Some of the caveats are that it is not sensitive to non-lexical expectancy such as thematic roles, it is not very good at measuring low lexical expectancy, and it cannot distinguish two words with a cloze of zero, which are not necessarily equally unexpected.
30 Hz (48 dB/oct slope). Blinks were detected and corrected via Independent Component Analysis (ICA). The data were segmented from 200 ms before the target word onset to 1000 ms after, with the baseline correction from 200 to 0 ms preceding the word onset. Segments were rejected when they contained signals exceeding ±75 μV, and featured a linear drift of more than ±50 μV, beginning before the onset of the target word. On average, 10% of the trials were rejected. The accepted trials were averaged for each condition for each participant, and used for further statistical analysis.

3. Results

The accuracy based on the comprehension questions was 86.0% (SD=3.8%), indicating that our participants were indeed involved in reading. The artifact rejection rates were 4% for [p+e+], 3% for [p-e-], 3% for [p-e+], and 4% for [p-e-], with no statistical significance difference between conditions.

The representative grand averaged ERPs from the anterior, central, and posterior groups along the midline locations are displayed in Fig. 2. Based on visual inspection, condition differences emerged at ~200 ms: First, the three conditions with predictive and/or emotional content [p+e+] [p+e-] [p-e+] gave rise to more positive P200s than the non-predictive and non-emotional condition [p-e-]. Second, the non-predicted words elicited N400s more negative than the predicted words in both the emotional and non-emotional conditions, with the N400 effects in the emotional conditions smaller than the N400 effects in the non-emotional conditions. Third, the non-predicted words elicited LPCs more positive than the predicted words in both emotional and non-emotional conditions, with the LPC effects in the emotional conditions more widely distributed than the LPC effects in the non-emotional conditions.

Mean amplitudes were exported from 200–280 ms, 300–500 ms, and 550–900 ms at 9 locations including the left anterior group (44, 45, 51, 52), the left central group (41, 42, 48, 49), the midline anterior group (7, 31, 39, 58, 59), the midline central group (1, 29, 30, 33), the midline posterior group (8, 27, 28, 40), the right anterior group (12, 13, 19, 20), the right central group (5, 11, 18), and the right posterior group (9, 10, 16, 17) (Fig. 1, Table 3). Data were entered into separate Repeated-Measures ANOVAs of 2 prediction (predictive, non-predictive) x 2 emotion (emotional, non-emotional) x 3-acp location (anterior, central, posterior) x 3-lmr location (left, midline, right) for each time window. Significant effects were followed by pairwise comparisons. The Greenhouse and Geisser (1959) correction was applied to comparisons with more than 1 degree of freedom. In addition, to address multiple comparison issues, False Discovery Rate corrections (Benjamini and Hochberg, 1995) were applied.

3.1. P200 (200–280 ms)

A repeated-measures ANOVA showed a prediction x emotion
interaction, $F(1,28)=14.94$, $p<.001$ without location interaction, $F<1$. Combining all electrode locations: Comparing the predicted and the unpredicted words when the words were non-emotional, the predicted words [p-e+] elicited more positive P200 than the non-predicted words [p-e-], $F(1,28)=13.42$, $p<.001$. When the words were emotional, this prediction P200 effect disappeared, $F(1,28)=1.18$, $p=0.29$.

Comparing the emotional and the neutral words when the words were not predicted, the emotional words [p-e+] were more negative than the neutral words [p-e-], $F(1,28)=14.24$, $p<0.001$. When the words were predicted, the emotion P200 effect disappeared, $F(1,28)=1.57$, $p=0.22$.

The scalp distributions of the prediction P200 effect and the emotion P200 effect were visually similar (Fig. 2, bottom left topography). We speculated that the two effects may tax on the same neural structure(s) underlying such effect.

### 3.2. N400 (300–500 ms)

A repeated-measures ANOVA showed a prediction $\times$ emotion interaction, $F(1,28)=16.77$, $p<.0001$ without location interaction, $F(1,28)=1.17$, $p=0.33$. Combining all electrode locations: Comparing the predicted and the unpredicted words when the words were non-emotional, the non-predicted words [p-e-] elicited more negative N400 than the predicted words [p-e+], $F(1,28)=113.24$, $p<.0001$. When the words were emotional, this prediction N400 effect remained significant, $F(1,28)=60.82$, $p<.0001$. The prediction N400 effects were more pronounced in the non-emotional compared to the emotional conditions, $F(1,28)=16.77$, $p<.0001$ (Fig. 2, bottom middle topography), and were significant in the anterior sites, $F(1,28)=18.93$, $p<.0001$, central sites, $F(1,28)=15.61$, $p<.0001$, and posterior sites, $F(1,28)=11.01$, $p<.005$.

Comparing the emotional and the neutral words when the words were not predicted, the waveforms for the emotional words [p-e+] were more negative than the neutral words [p-e-] in the 300–500 ms window, $F(1,28)=40.09$, $p<0.001$. This is likely a continuation of the positivity difference at ~200 ms. When the words were predicted, the effect disappeared, $F<1$.

### 3.3. LPC (550–900 ms)

A repeated-measures ANOVA showed a prediction $\times$ emotion interaction.
interaction, $F(1,28)=5.91, p<.05$, with a location interaction of prediction $\times$ emotion $\times$ left midline right $\times$ anterior central posterior, $F(1,28)=3.93, p<.05$.

When the words were not emotionally valenced, the non-predicted words elicited more positive LPCs than the predicted words, $F(1,28)=4.95, p<.05$. This significance was primarily driven by the posterior site [$F(1,28)=15.37, p<.001$] and somewhat the central sites, $F(1,28)=4.93, p<.05$, but not the anterior site, $F<1$. When the words were emotionally valenced, the non-predicted words elicited more positive LPCs than the predicted words, $F(1,28)=38.74, p<.0001$, at the anterior $F(1,28)=31.66, p<.0001$, central $F(1,28)=36.75, p<.0001$, and posterior $F(1,28)=35.07, p<.0001$, locations.

4. Discussion

Current research on language prediction has mostly concentrated on the processing of sentential context. The present study examined the contribution of emotional word meaning that is not prominent in the context. Participants read emotional and neutral words embedded in predictive and non-predictive sentential contexts. The crucial manipulation was that the emotional meaning was primarily activated by the target words and not from sentence context. The main finding is that both the contextual prediction effects and the emotional word effects emerged at $\sim 200$ ms. The two effects were similar in terms of time course, amplitude, and scalp distribution, suggesting potentially similar neural processes. We conjecture that such neural processes may be associated with affect and/or attention.

Prediction effects emerged at $\sim 200$ ms, more positive for confirmed than for violated predictions. One can reasonably argue that this P200 prediction effect is but an early onset of the following N400. While we acknowledge this possibility, we argue that the current data present a case where the P200 may be distinguishable from the N400, as the P200 effect for confirmed prediction was present in the non-emotional conditions, but was absent/eliminated in the emotional conditions, whereas the N400 effect remained in both non-emotional and emotional conditions. In addition, several other studies reported similar P200 effects for confirmed predictions (Federmeier et al., 2005; Molinaro and Carreiras, 2010; Lau et al., 2013; Chou et al., 2014). Federmeier et al. (2005) found that strongly constrained endings elicited a positivity more positive than weakly constrained endings peaking at 225 ms in the right visual field / processed by the left hemisphere. Lau et al. (2013) examined related and unrelated word pairs in high-predictive and low-predictive experimental contexts, and found that related words elicited an early positivity effect more positive than the unrelated words from 205 to 240 ms in the high-predictive context. Molinaro and Carreiras (2010) examined idioms that have predictive endings, and found an early positivity from 250 to 350 ms more positive for idiomatic (predictable) endings than for control (non-predictable) endings. Chou et al. (2014) examined classifier-noun pairs (e.g., a loaf of bread) in which the nouns following the classifier are highly predictable. Correct noun endings elicited more positive P200s than incorrect nouns.

How might the P200 be related to prediction and emotion processing? One possibility is that confirmed predictions lead to something akin to an “aha moment”. A number of ERP studies examining the issue of insight problem solving found that problem solvers showed a positivity starting at $\sim 200$ ms more positive than non-solvers (Lang et al., 2006; Qiu et al., 2008). Moreover, several ERP studies examining the processing of reward observed a
P200 that was more positive for reward than non-reward conditions (Parvaz et al., 2012). Here, an affective process similar to insight and reward may occur when predictions are met. In other words, language prediction, when fulfilled, may induce an “aha moment” that feels rewarding, leading to the same positivity effect observed in the current study.

Another possibility is that both prediction and emotion tapped into the same function, namely, attentional processes. Research in the field of attention has reported a family of attention-related ERP components, including the P1, N1, P2, and P300 (Luck et al., 2000). Other studies also showed that relevant cues elicited attention-related P200s (Carretié et al., 2001). Moreover, single word studies of emotion typically found early emotion effects and suggested that the early effects reflect the allocation of attentional resources (cf. Introduction). Our results are consistent with the idea that both predicted words and emotional words engage attention rapidly (the timing of the effect), and very likely reach ceiling (the strength of the effect).

Disconfirmed prediction gave rise to N400 effects in the conditions where the target words were neutral. Because our non-predictive conditions were essentially anomalous conditions, the N400 effect could be due to semantic violation (Kutas and Federmeier, 2011), prediction (DeLong et al., 2014), or both. Disconfirmed prediction also gave rise to LPC effects at the posterior locations in the conditions where the target words were neutral, consistent with past findings showing that the LPC effect for implausible continuations has a posterior distribution (DeLong et al., 2014).

Finally, we speculate that there may be two types of LPC effects at play, differentiated by their scalp distributions. One of the LPCs was posteriorly distributed, associated with prediction. The other LPC was anteriorly and centrally distributed, associated with emotion. According to this account there is a difference in the neuro-generators underlying the prediction and emotion effects observed on the scalp. The two types of LPC effects interacted at the anterior site (F(1,28) = 10.77, p = .003), suggesting that the LPC effect from the target word emotion boosted the LPC effect from the contextual prediction at the anterior site and thus resulting in an enhanced LPC effect from the contextual prediction. Our speculation, however, should be treated with caution due to the limitation of spatial resolution in EEG.

Overall, our findings suggest that both sentence context based prediction and target word based emotion influence processing of meaning rapidly. In an affective account, the ERP correlate for confirmed prediction resembles that for the effects of emotion, suggesting that fulfilled predictions may feel rewarding. In an attention account, both prediction and emotion enhance attentional processing early on. While further studies are needed to tease apart these two accounts, they suggest that the contribution of word processing to (predictive) sentence processing should not be overlooked. Comprehensive models of prediction must take such influences into account.

Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at http://dx.doi.org/10.1016/j.neuropsychologia.2016.03.014.

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