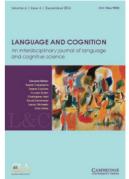
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# Predicting head-marking variability in Yucatec Maya relative clause production\*

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#### ABSTRACT

Recent proposals hold that the cognitive systems underlying language production exhibit computational properties that facilitate communicative efficiency, i.e., an efficient trade-off between production ease and robust information transmission. We contribute to the cross-linguistic evaluation of the communicative efficiency hypothesis by investigating speakers' preferences in the production of a typologically rare head-marking alternation that occurs in relative clause constructions in Yucatec Maya. In a sentence recall study, we find that speakers of Yucatec Maya prefer to use reduced forms of relative clause verbs when the relative clause is more contextually expected. This result is consistent with communicative efficiency and thus supports its typological generalizability. We compare two types of cue to the presence of a relative clause, pragmatic cues

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previously investigated in other languages and a highly predictive morphosyntactic cue specific to Yucatec. We find that Yucatec speakers' preferences for a reduced verb form are primarily conditioned on the more informative cue. This demonstrates the role of both general principles of language production and their language-specific realizations.

KEYWORDS: cross-linguistic sentence production, communicative efficiency, morphosyntactic variation, head-marking, Yucatec Maya.

#### 1. Introduction

A definitional property of any semiotic system is that signals map on to meanings. In the case of human language, this mapping is not always isomorphic: signals that are formally distinct can be near meaning-equivalent. A speaker of English may, with equal veridicality, announce either that she gave some money to a charity, or that she gave a charity some money (Bresnan, Cueni, Nikitina, & Baayen, 2007). Whether she expresses her intention to help the neighbor to shift the boxes, or to help the neighbor shift the boxes, her intention is arguably the same (Mair, 2002; Rohdenburg, 2006). Consequently, in the process of transforming a prelinguistic message into words, speakers must frequently select between alternate ways of expressing the same idea. Such 'choice points' have been of particular interest in language production research, because identifying the factors governing a speaker's preference for one alternant over another can shed light on the linguistic encoding processes involved in speaking.

It is by now well established that production choices are affected by pressures inherent to the production system, such as the relative ease with which words and structures can be retrieved and assembled (see Jaeger and Norcliffe, 2009, for a review). For example, speakers prefer grammatical alternatives that allow easily retrievable referents to be mentioned earlier in their utterances (Bock & Irwin, 1980; Bock & Warren, 1985; Branigan, Pickering, & Tanaka, 2008; Prat-Sala & Branigan, 2000; Tanaka, Branigan, & Pickering, 2011). They also show a preference for mentioning optional elements, such as disfluencies (Clark & Fox Tree, 2002; Shriberg & Stolke, 1996) and function words (Ferreira & Dell, 2000; Jaeger, 2010; Jaeger & Wasow, 2006; Roland, Elman, & Ferreira, 2006) when upcoming information is more difficult to plan.

A central question in language production research is the extent to which linguistic encoding processes are also influenced by pressures beyond those inherent to the planning and retrieval of elements for production. Specifically, do the COMMUNICATIVE GOALS of the speaker influence encoding processes, and consequently also have an impact on production choices? Transmitting a message in a way that will be intelligible to the hearer is, of course, a prerequisite

for successful communication, and it is generally agreed that some aspects of utterance planning must therefore be influenced by the intention to be understood. For example, speakers will typically speak louder in noisier environments (van Summers, Pisoni, Bernacki, Pedlow, & Stokes, 1988) or modify their vocabulary and speech style when speaking with children (Snow, 1977). While such adjustments are arguably the consequence of communicative goals, it is less clear whether these goals can influence the decisions made during incremental linguistic encoding. These linguistic encoding processes are standardly assumed to be largely automatic and information encapsulated (Bock & Levelt, 1994; Levelt, 1989). In particular, the stage of linguistic encoding we focus on in this paper, grammatical encoding, is often assumed to be largely, or solely, affected by pressures inherent to production planning (Arnold, 2008; Ferreira, 2008; Ferreira & Dell, 2000; MacDonald, 2013).

An alternative view holds that communicative goals do influence linguistic encoding, including grammatical encoding. Specifically, the view we pursue here holds that speakers aim to strike an efficient balance between ease of production and robust transfer of the intended message (Jaeger, 2013). We will refer to this as COMMUNICATIVELY EFFICIENT language production or, in short, COMMUNICATIVE EFFICIENCY. Intuitively, an efficient communicative system trades off the average effort and time required during the en- and decoding of the message against the average likelihood of successful transfer of that message (for related perspectives, see Lindblom, 1990; Pellegrino, Coupé, & Marsico, 2011; Zipf, 1949). This intuition can be formalized in terms of information theory (Shannon, 1948) and related mathematical frameworks for communication (for examples and discussion, see Ferrer i Cancho, 2005; Ferrer i Cancho & Díaz-Guilera, 2007; Genzel & Charniak, 2002; Levy & Jaeger, 2007; Maurits, Perfors, & Navarro, 2010; Piantadosi et al., 2011; van Son & Pols, 2003). Here, we contribute to this literature by assessing its cross-linguistic applicability at the level of grammatical encoding.

The hypothesis of communicatively efficient language production has received support from a variety of studies that have linked the contextual expectedness of linguistic units to their realization. Specifically, these studies measure the information carried by linguistic units, which stands in a logreciprocal relation to the contextual probability of the linguistic unit (Shannon, 1948). At the phonetic level, English speakers articulate highly informative words with longer duration and more articulatory detail (e.g., Aylett & Turk, 2004; Bell, Jurafsky, Fosler-Lussier, Girand, Gregory, & Gildea, 2003; Gahl & Garnsey, 2004; Pluymaekers, Ernestus, & Baayen, 2005). The same patterns have been found for the realization of individual segments even if the informativity of the word is held constant (Aylett & Turk, 2006; van Son & van Santen, 2005). Phonological processes, such as optional epenthesis processes in Dutch, have also been shown to be affected by informativity (Tily & Kuperman, 2012).

The same preference for providing more linguistic signal when the meaning encoded by that form is contextually unexpected has been observed in the realization of referential expressions. For example, speakers are more likely to omit optional arguments when their semantics is inferable from the verb (Resnik, 1996; see also Brown & Dell, 1987). Similarly, speakers are more likely to choose more reduced expressions when the referent is expected in context (e.g., pronoun vs. lexical noun: Tily & Piantadosi, 2009; abbreviated vs. full nouns, like *math* vs. *mathematics*: Mahowald, Fedorenko, Piantadosi, & Gibson, 2013).

Finally, and most germane to the goals of this paper, there is also evidence that morphological and syntactic processes, which are standardly assumed to be part of grammatical encoding, are subject to similar preferences. For example, Frank and Jaeger (2008) investigated auxiliary and negation contraction in conversational English and found that speakers produced more reduced forms (e.g., *doesn't* rather then *does not*) in contexts where the meaning was expected (for related data, see also Bybee & Schiebman, 1999). This preference seems to extend to the omission of optional function words that mark the beginning of constituents (Jaeger, 2006, 2010, 2011; Wasow, Jaeger, & Orr, 2011). For example, in many varieties of English, finite object extracted relative clauses allow the omission of the relativizer *that*, as in (1):

(1) This is the cake  $[_{RC}(that) I like best]$ 

When such a relative clause is expected, given the type of determiner, adjective, or head noun of the noun phrase it is modifying, speakers are less likely to produce the optional *that* (Jaeger, 2006; Wasow et al., 2011). Consider, for example, the difference between (2a) and (2b):

(2) a. That's the best thing (that) they foundb. That's a good book (that) they found

Example (2a) differs from (2b) in the definite determiners, superlative adjective, and the semantically light head noun. In a corpus of conversational English, Wasow et al. (2011) found that all three factors increased the probability that the noun phrase (e.g., *the best thing*) is modified by a relative clause. For all three factors, increased probability of a relative clause also correlated with a lower preference of speakers to produce *that* (for the latter correlation, see also Fox & Thompson, 2007).

These studies support the idea that the cognitive systems underlying human language production exhibit computational properties that facilitate an efficient trade-off between ease and robust information transmission (for further discussion, see Jaeger, 2013). This view bears a strong affinity to functional approaches to typology and language change, where it has been argued that the shapes of grammars themselves derive from frequency patterns in language use, via diachronic change (Bybee, 1988; Croft, 2000; Haiman, 1983; Haspelmath, 1999, 2004; Hawkins, 2004; Keller, 1994; Zipf, 1949). Over time, frequently co-occurring elements may fuse together and become phonologically reduced (Haspelmath, 2004; Traugott & Heine, 1991). These diachronic processes are argued to be motivated by cognitive principles of 'economy' or 'utility' (Givón, 1991, 1992; Haiman, 1983; Haspelmath, to appear; Zipf, 1949): because frequent concepts are more predictable, less effort is required to convey them to the hearer. The functional/typological notion of utility bears a strong conceptual resemblance to communicative efficiency, pointing to the possibility that the preferences observed during language production create a bias that, accumulated over generations, contributes to language change (see Jaeger & Tily, 2011, and references therein). Preliminary support for this idea comes with recent research showing that lexicons (Piantadosi et al., 2011) and grammatical systems (Gibson, Piantadosi, Brink, Bergen, Lim, & Saxe, 2013; Maurits et al., 2010) across languages exhibit properties expected from communicatively efficient systems (for a critique, see Ferrer i Cancho & del Prado Martín, 2011). A particularly striking demonstration is provided by Wedel, Jackson, and Kaplan (2013), who find that the probability of phonological mergers i.e., the loss of a phonological contrast - is dependent on the informativity of that contrast in the language, measured as the number of words that the contrasts serves to distinguish (an overview of related findings is given in Hume & Mailhot, 2013).

Communicative efficiency therefore holds explanatory potential not just for patterns of real-time language use, but also for the shape of grammars. However, both the claim that the language production system is designed to be communicatively efficient, and the notion that this in turn can account for typological patterns, only goes through in so far as it can be demonstrated that, across languages, speakers' on-line production choices are regulated by communicative efficiency. As with psychological research more generally (Henrich, Heine, & Norenzayan, 2010), research on speaker preferences in production has been undertaken on only a handful of phenomena, and a handful of languages, chief among them English (for an overview, see Jaeger & Norcliffe, 2009). For information-theoretic approaches to language production in particular, the empirical base is further limited, since this work tends to require larger databases on the basis of which informativity can be estimated (Bell, Brenier, Gregory, Girand, & Jurafsky, 2009; Piantadosi et al., 2011; Resnik, 1996). To the extent that cross-linguistic investigations within information-theoretic frameworks exist, they have thus mostly focused on lexical and sublexical properties, i.e., levels of linguistic description for which units are more frequent (e.g., Graff & Jaeger, 2009; Pellegrino, Coupé, & Marsico, 2011; Piantadosi et al., 2011; Qian & Jaeger, 2012; Wedel et al., 2013). Above the lexical level, some suggestive cross-linguistic support for communicative efficiency in fact comes from the comprehension research finding that comprehenders EXPECT speakers to produce reduced forms when the meaning the form conveys is contextually expected, and less reduced forms otherwise. Odawa comprehenders, for example, appear to expect the production of full NPs as opposed to pro-dropped NPs in contexts where the referent in question has less expected combinations of semantic features (Christianson & Cho, 2009). Similarly, in Choguita Rarámuri (Uto-Aztecan), redundancy in morphological marking has been shown to help listeners only when the meaning conveyed by the morphology is unexpected in its context (Caballero & Kapatsinski, to appear).

Here, we aim to contribute to the cross-linguistic evaluation of the communicative efficiency hypothesis. Specifically, we investigate a type of morphosyntactic reduction in a head-marking language. Typologists draw a distinction between two types of morphosyntactic marking found across languages, reflecting whether syntactic relations within the phrase are coded on the head of the phrase (e.g., the verb), or on the dependents (e.g., the arguments of the verb; Nichols, 1986; Nichols & Bickel, 2011). 'Dependentmarking' languages, like Japanese, mark grammatical relations on dependents, via case marking. 'Head-marking' languages mark grammatical relations on heads. Head-marking languages have received relatively little attention in research on language production, in particular, in work on sentence production (for a notable exception, see Christianson & Ferreira, 2005). We take advantage of a cross-linguistically rare type of head-marking variability that exists in Yucatec Maya, to test the predictions of communicative efficiency against this understudied language type. Our results suggest that robust information transfer is a factor influencing morphosyntactic production in Yucatec, and thus support the idea that across languages of markedly different structural types, communicative efficiency influences production choices in real-time language use. Next we review the grammatical properties of Yucatec Maya relevant for our purpose. Then we spell out the predictions of communicative efficiency accounts for the alternation we investigate. Following that we present a sentence recall study that investigates speaker preferences in Yucatec sentence production.

#### 1.1. MORPHOSYNTACTIC VARIATION IN YUCATEC MAYA

Yucatec Maya is spoken by around 700,000 people in the Yucatán peninsula of Mexico, and in parts of Belize and Guatemala. The canonical word order of the language is generally taken to be VOS (Bohnemeyer, 2009), although the most frequently observed word order in transitive sentences with two

lexically realized arguments in spoken narratives seems to be SVO (Gutiérrez-Bravo & Monforte, 2010).

Yucatec Maya is a strictly head-marking language, meaning that heads of phrases (e.g., verbs, possessed nouns, or prepositions) are morphologically marked for their dependents by means of person markers. For example, in the transitive clause in (3), the morpheme *-ech* indicates that the object is second person.<sup>1</sup> The lack of overt object marking in (4) indicates that the object of the transitive clause is third person. In both examples, the morpheme -u(y) indicates that the transitive verb's subject is third person (the y which follows u only occurs when the verb begins with a vowel). The subject marker u forms a phonological word with the aspect marker that precedes it (in the examples below, the imperfective marker k-). This unit is sometimes described as a prefix (Hanks, 1990), sometimes as a clitic (Bohnemeyer, 2002; Lehmann, 1998; Verhoeven, 2007); this is indicative of the fact that it exhibits certain mixed properties of both classes. In the examples below, its semi-bound relationship to the verb is represented by the use of the equals symbol, following the standard in Mayan linguistics.

(3)	k-uy=il-ik-ech		le máak-o	)'
	IMPV-3=see-INC-	-2.s g	DEF mar	I-PART
	'The man sees yo	u.'		
(4)	k-uy=il-ik	le sina's	an	le máak-o'
	IMPV-3=see-INC	DEF SC	corpion	DEF man-PART
	'The man sees the	e scorpi	on'	

The variability we investigate relates to Yucatec's head-marking. In subject extracted relative clauses, the verb in the relative clause may take one of two forms. One form is the regular head-marked verb form of main clauses, as described above. The other form is morphologically simpler: the subject marker u is omitted, together with the preverbal aspect marking k-. This alternation is restricted to subject relative clauses in which the relative clause verb is transitive (i.e., clauses of the type *the boy that ate the tortilla*). According to native Yucatec speakers, under these grammatical conditions, the use of either verb form is acceptable, and there is no discernible difference in meaning between the two forms (Norcliffe, 2009b). In Mayanist linguistics, this alternation is referred to as the 'Agent Focus' verb, because its use is restricted to syntactic contexts that involve the relativization or focusing of an agent

The following abbreviations are used in the Yucatec Maya example glosses: '=' = clitic boundary; 1/2/3 = 1st/2nd/3rd person; CMP = completive; DEF = definite determiner; FULL = full verb form; IMPV = imperfective; INC = incompletive; INDEF = indefinite determiner; PART = particle; RED = reduced verb form; SG = singular; TOP = topic particle, UNIV = universal quantifier.

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(in this case, the subject of a transitive clause; see, e.g., Aissen, 1999). To highlight parallels between this morphosyntactic choice and the literature on syntactic reduction, we will refer to the simpler alternant as REDUCED and the morphologically complex verb alternant as FULL. Example (5) gives an example of a relative clause with the full verb form; (6) gives an example with the reduced alternant (glosses are simplified for readability).

- (5) le máak **k-uy=il-ik** le sina'an the man see<sub>FULL</sub> the scorpion 'The man sees the scorpion'
- (6) le máak il-ik le sina'an the man see<sub>RED</sub> the scorpion 'The man sees the scorpion'

#### 1.2. PREDICTIONS

If Yucatec speakers follow the same principles of communicatively efficient language production that seem to drive speakers' preferences in English and typologically related languages, then they should favor shorter expressions when less information is sufficient to successfully convey their intended message. Specifically, when producing relative clauses, speakers should be more likely to use the reduced relative clause verb when the information conveyed by the verbal morphology is more contextually expected.

Here we focus on the predictions this makes at the constituent level. In Yucatec Maya, the relative clause verb occurs at the onset of the relative clause. As in the case of optional *that*-mentioning at the onset of English objectrelative clauses, Yucatec speakers should prefer to use the reduced form of the relative clause verb when the relative clause is more expected in its context.<sup>2</sup>

# 2. Experimental design

To assess whether Yucatec Mayan speakers prefer to produce reduced verbs when relative clauses are more contextually expected, participants were asked to complete a sentence recall task, in which they heard, and later recalled, sentences out loud. The central idea behind sentence recall is based on early findings that memory of syntactic form decays faster than that of the semantic

<sup>[2]</sup> In addition to signaling the onset of a relative clause, the full verb form also encodes referential information about the relative clause verb's subject argument. Communicative efficiency accounts therefore predict that Yucatec speakers' preference between the full and reduced form is also affected by the expectedness of the subject referent of the relative clause. Note that a similar prediction can be made for English *that*-mentioning, since the choice of *that* over, for example, *who* or *which*, also conveys additional referential and structural information. Here, we focus exclusively on the expectedness of the relative clause constituent.

gist of a sentence (Bates, Masling, & Kintsch, 1978; Sachs, 1967), thereby allowing the study of form alternations. This method is effective in eliciting examples of tightly controlled stimuli that are not easily elicited through more ecologically valid means, such as picture description. Ferreira and Dell (2000) employed a sentence recall paradigm like the one employed here to study English *that*-mention. Their findings have been confirmed by corpus studies of conversational speech (Jaeger, 2010; see also Roland, Dick, & Elman, 2007).

Inspired by the findings of Wasow et al. (2011), our design set out to manipulate the expectedness of a relative clause through the type of determiner or quantifier in the modified NP (definite vs. universal vs. indefinite). As is common for sentence recall experiments, this manipulation of interest was crossed with the recall factor, i.e., the relative clause verb form (reduced or full). This recall factor is not of theoretical interest. It merely serves to balance the number of times each item is present with either of the two verb forms, thereby reducing the chance of floor or ceiling effects. An example item in all six conditions is given below, where boldface indicates the two manipulations.

# UNIV-REDUCED

 (7) Manuel-e' t-uy=u'ub-ah tuláakal musiko pax-ik le Manuel-TOP PRV-3sG=listen-CMP UNIV musician play-INC DEF marimba-PART marimba-o'
 (2) Manuel-e' t-uy=u'ub-ah tuláakal musiko pax-ik le

'Manuel listened to every musician that was playing the marimba'

DEF-REDUCED

(8) Manuel-e' t-uy=u'ub-ah le musiko pax-ik le Manuel-TOP PRV-3SG=listen-CMP DEF musician play-INC DEF marimba-o' marimba-PART

'Manuel listened to the musician that was playing the marimba'

INDEF-REDUCED

(9) Manuel-e' t-uy=u'ub-ah hun túul musiko pax-ik le Manuel-TOP PRV-3SG=listen-CMP INDEF musician play-INC DEF marimba-o'

marimba- PART

'Manuel listened to a musician that was playing the marimba'

# UNIV-FULL

(10) Manuel-e' t-uy=u'ub-ah tuláakal musiko Manuel-TOP PRV-3sG=listen-CMP UNIV musician k-u=pax-ik le marimba-o' IMPV-3sG=play-INC DEF marimba-PART
'Manuel listened to every musician that was playing the marimba'

# DEF-FULL

(11) Manuel-e' t-uy=u'ub-ah le musiko Manuel-TOP PRV-3SG=listen-CMP DEF musician k-u=pax-ik le marimba-o' IMPV-38G=play-INC DEF marimba-PART 'Manuel listened to the musician that was playing the marimba' INDEF-FULL (12) Manuel-e' t-uy=u'ub-ah hun túul musiko Manuel-TOP PRV-3SG=listen-CMP INDEF musician k-u=pax-ik le marimba-o' IMPV-3SG=play-INC DEF marimba-PART

'Manuel listened to a musician that was playing the marimba'

The three-way determiner contrast was designed as a means of distinguishing between two different instances of communicative efficiency accounts. Because Yucatec is a language for which no large-scale syntactically annotated corpora exist, alternative strategies are required to estimate relative clause probabilities. We took advantage of two cues to relative clause modification.

The first draws on previous work on *that*-omission in English described above (Wasow et al., 2011). Wasow and colleagues observed that the determiner or quantifier of a noun phrase predicts how likely the noun phrase is to be modified by a relative clause. For pragmatic reasons, universally quantified and definite NPs have a higher probability of being modified by a relative clause than indefinite NPs. Universal assertions expressed with the universal quantifiers *all* and *every*, for example, are usually true only if applied over restricted domains. Thus, (13a) is true for many more VPs than (13b). The use of a relative clause therefore allows speakers to avoid making overly general claims.

(13) a. Every linguist we know VPb. Every linguist VP

For indefinites the opposite is true. (14a) is true for many more VPs than (14b), since (14a) is true if VP holds of any linguist, whereas (14b) is true only if it holds of a linguist we know.

(14) a. A linguist VPb. A linguist we know VP

Finally, definite determiners generally indicate that the referent of the NP it is introducing can be uniquely identified given the linguistic and nonlinguistic context (Hawkins, 1978; Heim, 1982). Identifiability often requires further information about the referent than is expressed by the noun alone. A relative clause constitutes one means by which such additional information can be provided. Thus, (15b) can more successfully refer to a unique individual than (15a):

- (15) a. The linguist
  - b. The linguist I told you about

Thus, the semantic/pragmatic restrictions of *a*, *the*, and *every* make them effective cues to the relative probability of a following relative clause. Based on the assumption that these pragmatics carry over into Yucatec, we predicted that the full verb form will be more likely when it occurs in a relative clause modifying an indefinite compared to definite and universally quantified NPs.<sup>3</sup> We had no clear expectations about differences between universals and definiteness (Wasow et al., 2011, did not report significant differences between these two).

The second cue to relative clause modification is Yucatec-specific, and pertains to the distribution of a clause-final particle that is only found in combination with NPs marked as definite with the determiner le. When a clause contains a definite (*le*-marked) NP, the clause is obligatorily followed by a deictic particle. In simple transitive clauses with a clause-final definite object NP, this means that the particle immediately follows the object NP. This is shown in example (16), where the deictic particle, -o', is in bold face, and glossed PART:<sup>4</sup>

(16) Manuel-e' t-uy=u'ub-ah le musiko-o'
 Manuel-TOP PRV-3sG=listen-CMP DEF musician-PART
 'Manuel listened to the musician that was playing the marimba'

When a relative clause modifies a definite NP, the deictic particle no longer occurs directly after the noun (here, *musiko*), but rather AT THE END OF THE ENTIRE MODIFYING RELATIVE CLAUSE:

<sup>[3]</sup> While we know of no particular challenge to the assumption that speakers across languages would be guided by the same basic pragmatic principles at this general level, this assumption remains to be tested in future research (e.g., by collecting sufficiently large corpora of Yucatec).

<sup>[4]</sup> To be specific, two different clause-final deictic particles are triggered by definite NPs: -o, which marks proximal deixis (in space and time), and -a, which marks distal deixis. The choice between the two in discourse has been connected to the 'evidential status' of the proposition (see Hanks, 1990). The precise deictic values of these particles is not relevant for present purposes, merely the fact that clauses are obligatorily followed by one or the other particle when that clause contains a definite NP. For clarity, we also note that there can be no more than one clause-final particle per clause. Thus, if a clause contains multiple definite noun phrases, this will still only trigger a single particle at the end of the clause. Note, finally, that in the examples in this section, as well as in our experimental stimuli (see 'Appendix B'), the subject of the main clause (e.g., *Manuel* in (17)), occurs in a sentence-initial 'topic' position, which is syntactically external to the matrix clause. Such topics always trigger their own clause-final clitic (-e).

(17) Manuel-e' t-uy=u'ub-ah le musiko pax-ik le marimba-o'
Manuel-TOP PRV-3SG=listen-CMP DEF musician play-INC DEF marimba-PART
'Manuel listened to the musician that was playing the marimba'

The absence of a deictic particle after the definite noun (e.g., *musiko* in (17)) thus provides a cue that postnominal modification, such as a relative clause, is to be expected. Crucially, no such deictic particle is present in indefinite or universally quantified NPs:<sup>5</sup>

(18)	Manuel-e'	t-uy=u'ub-ah	tuláakal	musiko
	$Manuel{-}TOP$	PRV-3SG=listen-CMP	UNIV	musician
	'Manuel lister	ned to every musician'		
(19)	Manuel-e'	t-uy=u'ub-ah	hun túul	musiko
(19)		t-uy=u'ub-ah PRV-3SG=listen-CMP		

In the case of universally quantified and indefinite NPs, the absence of the particle after the head noun is therefore uninformative: it should not lead to a greater expectation for an upcoming relative clause because these NP types never combine with the particle under any circumstances.

Our logic regarding the relative informativity of the absence of the particle follows from two fairly uncontroversial assumptions. First, that word boundaries can typically be inferred with relative certainty through a mixture of bottom-up cues (e.g., word-level and phrase-level prosodic contours) and top-down knowledge (e.g., implicit knowledge about grammatical phonological sequences as well as the lexical inventory of a language). From this it follows that the absence of a particle can usually be inferred. Second, that comprehension is not noise-free. This means that no cue is recognized with absolute certainty, meaning that a cue can still be informative (and thus helpful) even after other cues have already provided the 'same' information. Thus, even though the speaker may already have begun to produce the relative clause by the time the comprehender has inferred the particle's absence, this absence may nevertheless be informative about the relative clause that is under production. Indeed, it is by now rather broadly accepted in research on language comprehension that comprehension is not a deterministic serial search (for evidence, see, e.g., 'right context effects', reviewed in Dahan, 2010).<sup>6</sup>

<sup>[5]</sup> As we discuss below, universally quantified NPs can be combined with a definite determiner, in which case the absence of a deictic particle becomes informative. Our stimuli never combined universal quantification with a definite determiner, but participants occasionally produced this pattern.

<sup>[6]</sup> In fact, right context effects are expected under ideal observer models of language processing and speech perception (e.g., Levy et al., 2009; see also Dahan, 2010).

Working from either the pragmatic or the morphosyntactic-cue based estimate, communicative efficiency theories predict that Yucatec Maya speakers should be less likely to choose the full verb form when the relative clause is headed by a definite NP by comparison with an indefinite NP, because, according to either estimate, relative clauses should be more expected as modifiers of definite NPs. Where the pragmatic and the morphosyntacticcue based estimates diverge is in the predictions they lead to regarding universally quantified NPs. If our pragmatic-based estimate is most reflective of Yucatec speakers' own probability estimates, then they should have a higher expectation for a relative clause after universally quantified NPs by comparison with indefinite NPs. Therefore, they should be less likely to choose a full verb form for relative clauses headed by universally quantified NPs than for those headed by indefinite NPs. If, however, the expectancy of a relative clause is driven more by morphosyntactic cues present in the utterance, rather than by general pragmatic biases, then we should not expect a difference in verb form choice for relative clauses headed by universally quantified NPs compared to those headed by indefinite NPs, because the morphosyntactic cue singles out definite NPs only.

The results of our experiment should therefore also shed light on the extent to which different types of cues to sentence structure affect language production. In Yucatec, the morphosyntactic cue is highly informative. If speakers condition their preferences between full and reduced forms differentially on different cues, based on the informativity that these cues carry about the relevant linguistic structure (in this case, the presence of a relative clause), we should see that Yucatec speakers are more likely to use the reduced form whenever a relative clause modifies a definite NP.

#### 3. Method

#### 3.1. MATERIALS

The stimuli were developed by the first author in collaboration with a nativespeaker consultant. Stimuli consisted of digitized recordings of spoken Yucatec sentences, read by an adult male native Yucatec speaker. They comprised twenty-four experimental items and thirty-two fillers.

For each item, the relative clause was embedded as the object of a transitive matrix clause. In all sentence frames the participants of the matrix clause were humans: the subject was a personal name, and the object of the matrix clause (the head of the relative clause) was a familiar occupation. The embedded object (the object of the relative clause, e.g. 'marimba' in (12)) was always inanimate. This property of the stimuli was critical in order to avoid global ambiguity. Yucatec relative clause constructions with full relative clause verbs can have either a subject or an object relative clause reading. Restricting our

stimuli to event types involving human agents acting on inanimate objects eliminated the possibility of ambiguity. The definiteness of the embedded object nouns was balanced within items.

At least one filler intervened between any two of the items. The fillers were sentences consisting of intransitive clauses with locative or prepositional phrases. Six Latin-square designed lists were created so that each participant heard one and only one condition from each of the twenty-four items.

#### 3.2. PARTICIPANTS

Thirty-six Yucatec–Spanish bilingual speakers (23 women and 13 men) took part in the experiment. Participants were aged between 18 and 23 (mean = 19.7, SD = 1.3). All participants were undergraduate students at the Universidad de Oriente (UNO), a state university in Yucatán, located just outside of the town of Valladolid. UNO is attended by students living throughout the state of Yucatán, with the bulk of the population concentrated in Valladolid and surrounding villages. All participants were computer literate.

To assess participants' daily exposure to Yucatec, we asked all participants to estimate the number of hours they spoke Yucatec each day (less than three, three–six, more than six). According to the estimates, 16% of participants spoke on average less than three hours a day; 14% spoke between three and six hours a day, and 70% spoke over six hours a day. We also gathered information about the village that each participant came from, as a potential indicator of micro-dialectal differences. In total, nineteen different villages were represented in the participant pool. Each participant was paid to take part in the experiment, which lasted no more than 45 minutes.

#### 3.2.1. Procedure

The task was aurally presented sentence recall, programmed and run with Exbuilder (E. Longhurst, University of Rochester). Participants sat in front of a laptop computer in a private classroom, wearing a headset with a head-mounted microphone, while another participant (also a native speaker of Yucatec Maya) sat opposite them. Participants were instructed to direct their speech towards their partner, so that their partner could imagine the scenes being described. Each trial consisted of three parts:

- 1. *Listen and repeat*: the participant heard a sentence and immediately repeated it.
- 2. *Video distractor task*: the participant saw a short animated video clip of a simple event, which they described in a single sentence.
- 3. *Sentence recall:* the participant heard a prompt (a portion of the original sentence), and had to recall the original sentence from part one.

For each trial, the procedure was as follows: after pressing the space bar to initiate the experiment, participants proceeded to the first part of the trial, in which they first heard a sentence. When they were ready to speak, they advanced with another space-bar press. A green icon of a mouth appeared in the center of the screen, which signaled that they could begin speaking. They repeated the sentence, and then advanced by means of another space-bar press to the second part of the trial, the video distractor task. A brief (2-3 second) animated video was presented, which depicted a simple action scene, typically of a human agent acting on either an inanimate or an animate object (e.g., a man throwing ball) (created using EFrontier's Poser software).<sup>7</sup> Once the video ended, the green icon of a mouth appeared on the screen, which again signaled to the participants to begin speaking, this time in order to describe the video clip. Once they had completed their description, they advanced once more with a space-bar press, to part three of the trial. At this point, participants heard the sentence prompt, which was intended to provide them with a cue to recall the target sentence from part one of the trial. On critical trials, the prompt always consisted of the subject and the verb of the matrix clause of the target sentence. That is, if the original sentence was "Rodrigo laughed at the diner that spilled the drink", the prompt was "Rodrigo laughed". Prompts were cut from the original sound clip. Following the prompt, participants then recalled the complete target sentence out loud. They then advanced to the next trial by pressing the space bar. Responses (for all three parts of each trial) were recorded onto the computer.

The task began with a training session consisting of six practice sentences, none of which contained the target structure. Instructions were presented by the experimenter in Spanish. Once the experimenter was satisfied that the participant and his/her partner had understood the task, and was comfortable with the computer commands, the participants completed the actual experiment.

#### 3.2.2. Scoring and exclusions

All 864 experimental responses from the sentence recall part of the experiment were transcribed and scored by the first author, with the assistance of native Yucatec speaker consultants. To identify failures to recall the stimulus, we annotated whether the modified NP and the relative clause verb as well as the embedded NP in the relative clause were produced correctly. The exclusions of incomplete or inaudible responses resulted in 19.7% data loss. For the remaining

<sup>[7]</sup> We chose to use a video distractor task after mixed success with alternative distractor tasks in pilot studies. While it is possible that the video descriptions may have interfered with the productions of the critical morpheme in the sentence recall phase of the trial, there is no reason to assume that this potential confound would have been unequally distributed across conditions. Moreover, the effects we report in this paper have been replicated in another paradigm that does not use a language-based distractor task (Norcliffe, 2009a).

data, scoring of the verb form revealed that participants produced one of the two intended forms (full or reduced) in 92.4% of all cases. Out of the 53 cases that contained alternative forms (e.g., involving different types of aspectual marking), only one was deemed sufficiently similar in meaning and behavior (involving t-, the perfective aspect marker, which can be omitted like k-). This left 651 cases.

Following previous work, we applied two additional criteria for inclusion of participant data in the analysis (Ferreira & Dell, 2000; Jaeger, 2010; Jaeger, Furth, & Hilliard, 2012). Neither of these criteria affected the results reported below. First, we only included participants that showed evidence of the alternation. That is, we excluded data from 7 participants who did not produce both the reduced and the full form at least once in the experiment. Additionally, we excluded data from 11 participants because of 50% or more data loss. This left 438 cases from 22 participants. The exclusions together resulted in 46.3% data loss overall, which is within normal bounds for sentence recall experiments (e.g., Ferreira & Dell, 2000).

Overall, the full form was produced more than the reduced form (254 full tokens vs. 184 reduced tokens). Speakers produced 3 instances of full verb forms with the perfective aspect marker *t*- (out of 273 full responses). We collapsed over these response types in our coding, treating both *t*- and *k*- as full responses. There was a high degree of individual variation in rates of full verb mentioning. The proportion of full verb productions ranged between 10% and 93% (median = 54%).

For the remaining analyzable cases, we annotated the article of the modified NP, which was manipulated by design. This annotation revealed that participants sometimes changed the determiner of the modified NP. Specifically, participants sometimes changed the form of the indefinite determiner from *hun túul* to *hun p'éel*. Indefinite determiners in Yucatec are composed of the unstressed numeral *hun* 'one', together with a classifier morpheme, the choice of which depends on the properties of the noun it combines with. *Túul* is used for 'self-segmenting shapes', and typically (but not categorically) combines with animate referents, while *p'éel*, the most neutral classifier, tends to be used by default for discrete inanimate referents (Lucy, 1992). The modified NPs in our stimuli were always animate, and were consistently presented with the classifier form *túul*. However, while human referents tend to occur with the *túul* classifier in natural speech, this is not a fixed grammatical rule.<sup>8</sup> Because both classifier forms in combination

<sup>[8]</sup> Lucy (1992) observes that the use of p'éel in place of túul with human referents can, in certain pragmatic contexts, reflect subtle differences in referent construal, suggesting, for example, that the human referent is not known to the speaker. The occasional shifts we observed from the use of hun túul to hun p'éel in our experiment may therefore reflect differences in construal. It is also possible that the shifts to p'éel were a consequence of priming from the classifier type of the embedded inanimate NP in the listen and repeat phase of the trials.

with the numeral *hun* are used to indicate indefinite reference, we kept both response types, treating both as indefinite.

Participants also frequently added a definite determiner to the universal quantifier (in 29% of the utterances), producing *tuláakal le* 'all the' instead of *tuláakal* 'every, all', as in (20) below. We decided to keep both response types. Given the relevance of the definite determiner for the predictions of communicative efficiency accounts, we return to this point below.

(20) Manuel-e' t-uy=u'ub-ah tuláakal le musiko pax-ik le marimba-o'
Manuel-TOP PRV-3SG=listen-CMP UNIV DEF musician play-INC DEF marimba-PART
'Manuel listened to all the musicians that were playing the marimba'

Table 1 summarizes the distribution of determiner types in the responses depending on the determiner presented in the stimulus.

#### 3.3. ANALYSIS

We employed mixed logit regressions (Breslow & Clayton, 1993; Jaeger, 2008) to analyze the probability of full over reduced relative clause verbs in the recall responses. All analyses were conducted using the *lme4* package (Bates, Maechler, & Bolker, 2012) in R (R Development Core Team, 2005). All analyses reported below employed the maximum random effect structure justified by model comparison.<sup>9</sup> If not mentioned otherwise, the same results were obtained using maximal converging random effect structure. There were no signs of multicollinearity.

#### 3.4. RESULTS

We present two types of analysis. In the first we treated the experimental design factors (determiner type of the modified NP presented) as predictors. That is, we analyze the data based on the properties of the original stimulus to be recalled. However, speakers sometimes deviated from the original form, while still producing the desired structure (see above). We therefore also analyzed the effect of the determiner ACTUALLY produced by the speaker. For both sets of analyses, the effects reported below also held when additional controls were included in the analyses that accounted for potential confounds introduced by data loss (see 'Appendix A').

<sup>[9]</sup> Following the procedure outlined at <http://hlplab.wordpress.com/2011/06/25/moreon-random-slopes/

Produced	definite	indefinite		universal		
Presented	le	hun túul	hun p'éel	tuláakal	tuláakal le	
definite ( <i>le</i> )	137	13	3	0	0	
indefinite (hun túul)	20	103	14	1	1	
universal (tuláakal)	16	1	2	85	42	

 TABLE 1. Counts of determiner types actually produced by the determiner type

 presented in the recall stimulus

#### 3.4.1. By design factors

In our first analysis, the determiner type of the modified NP presented was our predictor of interest. This was Helmert coded (contrasting definite vs. indefinite, and universal vs. the average of definite and indefinite). The recall variable (whether the full or reduced verb form was presented) was sum-coded.

As shown in Figure 1, full verb forms were produced less when the modified NP in the stimulus was definite, compared to when it was indefinite or universally quantified. In the model, this resulted in a significant effect of definiteness of the modified NP on verb form choice (p < .05); see Table 2). There was no significant effect of universal quantification of the modified NP. No interaction terms were significant. Table 2 and Figure 1 additionally show that there was a significant effect of the stimulus verb form on verb form choice: unsurprisingly, speakers were more likely to produce a full verb form.

#### 3.4.2. By modified NP type produced by speakers

Our second analysis was based on the type of modified NP speakers actually produced. As described above, participants frequently added a definite determiner to universally quantified NPs, producing *tuláakal le* 'all the' instead of *tuláakal* 'all/every'. To assess the effects of both definiteness and universal, we considered cases of modified NPs that contained a universal quantifier (with or without an additional definite article) as 'universal' and treated cases of modified NPs that included definite articles (with or without the universal quantifier) as 'definite'. These two contrasts were sum-coded, as was the recall variable (following the first analysis).

As shown in Figure 2, definite determiner responses both without the universal quantifier (left panel) and with the universal quantifier (right panel) were associated with lower proportions of full verb forms. In the model (Table 3), this is reflected in definiteness once again emerging as a significant predictor of verb form choice: full verb forms were produced less with definite

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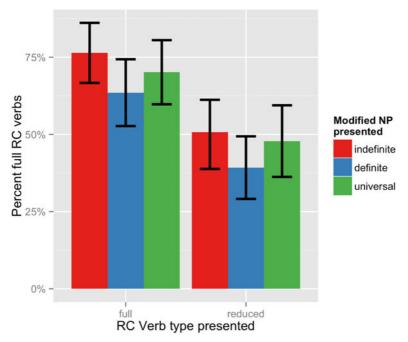


Fig. 1. Relative clause verb productions by the relative clause verb form and the NP head type presented during encoding.

TABLE 2. Mixed logit regression of relative clause verb productions by design factors

	$Coef \ \beta$	SE $(\beta)$	z	р	
Intercept	0.500	0.304	1.6	< .1	+
RC verb type presented= <i>full</i>	0.739	0.162	4.6	< .0001	****
Modified NP presented (def. vs. indef.)	-0.388	0.145	-2.7	< .01	**
Modified NP presented (univ. vs. other)	0.017	0.129	0.1	>.9	
RC verb=full:modified NP ( <i>def.</i> vs. <i>indef.</i> )	-0.112	0.143	-0.8	> .4	
RC verb=full:modified NP (univ. vs. other)	-0.018	0.086	-0.2	> .8	

modified NPs (either universally quantified or not) compared to modified NPs that did not have a definite article (p < .05). Universal quantification was not itself a significant predictor of verb form choice, and neither was the interaction between universal quantification and definiteness. Finally, there was again a significant effect of the stimulus verb form on verb form choice: speakers were more likely to produce a full verb form when recalling a sentence if the critical verb in the stimulus was also a full verb form.

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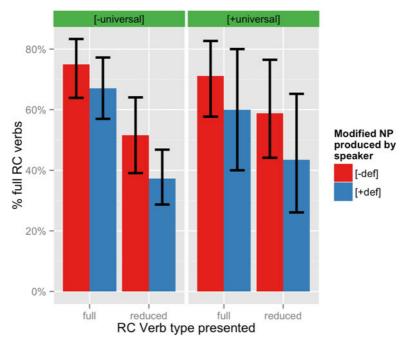


Fig. 2. Relative clause verb productions depending on relative clause verb form presented during encoding and the NP head type actually produced.

TABLE 3. Mixed logit regression of relative clause verb productions depending on definiteness and universal quantification of modified NP produced by speaker

	$Coef \ \beta$	SE $(\beta)$	z	р	
Intercept	0.441	0.283	1.5	> .1	
RC verb type presented= <i>full</i>	0.650	0.121	5.4	< .0001	****
Modified NP=[+ <i>def</i> .]	-0.601	0.243	-2.5	< .05	*
Modified NP=[+univ.]	0.081	0.260	-0.3	> .7	
Modified NP=[+def.]:[+univ.]	0.151	0.534	0.3	> .7	
RC verb=full:modified NP=[+ <i>def</i> .]	0.058	0.234	0.2	> .8	
RC verb=full:modified NP=[+univ.]	-0.301	0.257	-1.2	> .2	
RC verb=full:[+ <i>def</i> .]:[+ <i>univ</i> .]	-0.059	0.520	-0.1	> .9	

# 4. Discussion

Standard psycholinguistics accounts of grammatical encoding assume that grammatical encoding is subject largely or only to pressures inherent to production planning, such as the retrieval of lexical and grammatical information (Arnold, 2008; Ferreira, 2008; Ferreira and Dell, 2000; MacDonald, 2013). An alternative view holds that even these highly automatic processes

are affected, directly or indirectly, by communicative goals. This idea has received some support from a number of studies showing that morphological elements can be reduced or omitted if the meaning they encode is expected in context (e.g., negation and auxiliaries in conversational English: Frank & Jaeger, 2008; optional case-marking in Japanese: Kurumada & Jaeger, 2013). Similarly, optional function words have been found to be more likely to be produced when the constituent they introduce is unexpected in context (e.g., Jaeger, 2010, 2011; Wasow et al., 2011). The goal of the present work was to test the typological generalizability of this communicative efficiency hypothesis by investigating Yucatec Maya speakers' preferences in the production of a typologically rare head-marking alternation that occurs in relative clauses. We found that speakers were more likely to choose longer (head-marked) verb forms over simpler forms when the relative clause presented in the stimulus or produced by speakers was headed by an indefinite NP rather than a definite NP. Under the assumption that relative clauses in Yucatec are less expected as modifiers of indefinite NPs (paralleling findings for English; Wasow et al., 2011), this result is consistent with the predictions of communicative efficiency: speakers choose longer forms when they provide an additional signal to less predictable constituents. Below, we first summarize our results in more detail. Then we discuss to what extent our results are compatible with standard accounts that attribute speakers' preferences during grammatical encoding solely to production ease. We also discuss a potential alternative explanation of our results in terms of conventionalized probabilistic preferences, rather than on-line decisions during language production. We tentatively conclude that our findings cannot be reduced to any of these competing explanations. We close with a discussion of the mechanisms that allow grammatical encoding to strike an efficient balance between production effort and communicative goals.

In work carried out on better-studied languages, reliable estimates of frequency distributions of construction types can be obtained from largescale syntactically annotated corpora. For under-studied languages like Yucatec, such corpora are often not available. We therefore considered two alternative means of estimating the contextual expectedness of relative clauses in Yucatec. First, Wasow et al. (2011) have argued for English that relative clauses are less likely following indefinite NPs by comparison with definite NPs, due to the particular semantic/pragmatic properties of the different determiner types. Because definite determiners generally serve to indicate that the referent of the NP is contextually unique, a relative clause provides a means of providing the additional information necessary to successfully refer to a unique individual. This is not necessary for indefinite NPs, which do not have this uniqueness restriction. On the assumption that these pragmatic effects are not language-specific, relative clauses should be less expected following indefinite NPs in Yucatec also. Second, in Yucatec, definite noun phrases are obligatorily marked with a phrase-final deictic clitic. If a definite noun phrase is modified by a relative clause, the clitic occurs at the end of the entire modifying relative clause, rather than directly after the noun. The absence of a particle after a noun is therefore a reliable signal to the likelihood of an upcoming relative clause in the case of definite NPs alone. On language-internal morphosyntactic grounds, then, definite NPs are more informative as to the likelihood of an upcoming relative clause than indefinite NPs.

While both the pragmatic-based and the morphosyntactic-based estimate of relative clause likelihood are consistent with the observed definiteness effect, it is the morphosyntactic-based estimate that best captures our results overall. On the pragmatic account, universally quantified NPs should also create a higher expectation for a relative clause than indefinite NPs: further restriction by means of a relative clause is preferable in the case of universally quantified NPs, because universal assertions are generally only true of restricted sets. In terms of the morphosyntax of Yucatec Maya, by contrast, universally quantified NPs pattern like indefinite NPs: the absence of the deictic particle is equally uninformative in both cases. The fact that we found no effect of universal quantification on verb form choice, independently of the definiteness effect, suggests therefore that speaker sensitivity to the relative expectedness of the relative clause is driven primarily by language-specific morphosyntactic cues available within the utterance, rather than by overall pragmatic biases associated with the different determiner types. Thus, our results suggest that Yucatec speakers prefer the reduced relative clause verb form whenever the relative clause is more probable in its context, as determined by Yucatec-specific morphosyntactic cues. This pattern of preferences is consistent with communicative efficiency accounts of sentence production.

If confirmed by future work, the preferential weighing of the morphosyntactic cue might suggest that speakers condition their production preferences more on more informative cues. While the informativity of cues has received some attention in research on language comprehension, little is known about how cue informativity affects language production (but see Jaeger, 2006; Post & Jaeger, 2010; Qian & Jaeger, 2012). Post and Jaeger (2010) investigated how the informativity of different cues to a word's identity affected that word's phonetic reduction. Following the procedure of Levy and Jaeger (2007), Post and Jaeger extracted a large number of words from a corpus of conversational speech and built a smoothed maximum entropy classifier predicting words based on cues in the preceding context (e.g., the preceding word). This classifier weighted each feature based on the information it contains about word identity. Post and Jaeger then compared classifiers trained on different sets of features in terms of how well the word predictability estimates derived from the classifier predicted the degree of phonetic reduction observed for each word in their corpus. They found that cues that were better predictors of word identity (i.e., more informative cues) also led to better models of phonetic reduction. This suggests that speakers' preferences between reduced and full forms are affected more strongly by more informative cues (for similar evidence from English *that*-mention, see also Jaeger, 2006). The current results are compatible with this interpretation, thereby motivating future work on this question.

On the assumption that Yucatec speakers' morphosyntactic choices were influenced by communicative considerations, this raises the question as to why we should have found this effect in our experiment, given that speakers' utterances were elicited under conditions that did not promote natural interactive communicative behavior. This is, indeed, generally true of sentence production experiments (including sentence recall tasks like ours). Importantly, however, unlike many previous studies, our experiment involved an audience: participants were asked to recall the sentences out loud to a native Yucatec-speaking partner. While the task of this audience was not defined (they were not explicitly told to do anything but listen), previous work suggests that simply having an audience present can affect production choices. Ferreira and Dell (2000) found that whether or not speakers were engaged in a communicative task affected overall rates of *that*-complementizer production: when recalling complement clause constructions, speakers produced that more often when they were speaking to an addressee who was asked to rate the clarity of their utterances, compared to when no addressee was present. Lockridge and Brennan (2002) found that in retelling stories to naive addressees, speakers were more likely to mention atypical instruments, and to mention them early, when the addressee did not see a picture illustrating the main action and the instrument, compared to when they could see such a picture. More generally, it is plausible to assume that participants transfer their expectations about talking to someone into the experiment. It thus seems plausible to assume that at least some of our participants considered their audience as sufficiently real, and therefore that speakers' production choices could, in principle, have been influenced by communicative pressures.

#### 4.1. COULD PRODUCTION EASE EXPLAIN OUR RESULTS?

Grammatical encoding is typically assumed to be solely, or predominantly, affected by pressures inherent to production planning (Arnold, 2008; Ferreira, 2008; Ferreira & Dell, 2000; MacDonald, 2013). These accounts are sometimes referred to as production ease accounts. Here we do not dispute that grammatical encoding processes can be affected by production ease; robust evidence for this conclusion comes from a large cross-linguistic body of research on accessibility effects on syntactic preferences (see Jaeger & Norcliffe, 2009, for a review). Indeed, in 'Appendix A' we consider evidence suggesting

that production ease also affects Yucatec relative clause production. We argue, however, that the observed Yucatec production preferences are not REDUCIBLE to production ease.

We briefly elaborate on this point. In a review of the field, MacDonald (2013) identifies three preferences she attributes to production ease: producing available material early ('availability-based production'), reusing previously produced material ('priming'), and avoidance of similarity-based interference. While all three of these preferences have received empirical support (for references, see MacDonald, 2013; for discussion, see also Jaeger, 2013), we submit that they cannot account for the current findings. We discuss the three preferences in turn.

First, definiteness has been found to affect speakers' preferences in preceding choice points (e.g., Ferreira & Dell, 2000; Jaeger & Wasow, 2006; Roland et al., 2006). For example, speakers tend to omit *that* more often before definite, compared to indefinite, complement clause subjects. This may be a consequence of definite NPs being available for articulation earlier than indefinite NPs: definiteness has been linked to the ease with which referents are retrieved from memory (Bock & Warren, 1985). However, in the current study, the critical morphosyntactic choice (the choice between realizing or omitting ku- on the relative clause verb) FOLLOWS our definiteness manipulation. Availability-based production makes no predictions in this case (cf. Ferreira & Dell, 2000). In 'Appendix A', we present additional analyses, finding that the definiteness of the EMBEDDED NP DOES affect whether speakers produce ku. That is, there is evidence for availability-based production in Yucatec Maya, but it does not explain the critical results obtained here.

Second, it is well known that speakers show a tendency to repeat recently produced material. For example, there is ample evidence of morphological and syntactic priming (e.g., Bock, 1986; Gries, 2005; Pickering & Branigan, 1998; Szmrecsányi, 2005) including in optional *that*-omission (Ferreira, 2003; Jaeger, 2010). However, in the current study, exposure to stimuli with the full and the reduced verb form was counterbalanced within the manipulation of interest, so that priming cannot account for our results.

Finally, similarity-based interference has been found to affect production, in that speakers seem to prefer grammatical structures that avoid the production of similar referential expressions in close sequential proximity (e.g., Gennari, Mirković, & MacDonald, 2012). So, it is possible that speakers would produce the longer verb form (with ku) in order to increase the distance between two noun phrases that have the same definiteness features (i.e., when both are definite, or both are indefinite). In 'Appendix A' we present additional analyses which take into consideration the definiteness of the embedded NP. These did not reveal any effect of semantic interference. We thus tentatively conclude that production ease is unlikely to account for our results.

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#### 4.2. CONVENTIONALIZED PREFERENCES OR ON-LINE PRESSURES?

Another possible explanation for the observed Yucatec production preferences could be that they reflect conventionalized probabilistic knowledge. On this view, the production behavior in our experiment would be the outcome of speakers' fine-grained probabilistic knowledge of the linguistic conventions of their language: speakers have learned from exposure to their speech community that reduced verb forms are more frequent in relative clauses that are headed with definite NPs than with indefinite NPs, and they recapitulate these distributional patterns in their own productions. There is, indeed, thought-provoking evidence that on-line pressures alone are insufficient to explain patterns across varieties of English, and thus that variability in production may sometimes reflect probabilistic linguistic knowledge (Bresnan & Hay, 2007; Rosenbach, 2002, 2003). Such knowledge could take the form of a probabilistic grammar (where probabilities are associated with conventional rules or constraints; see, e.g., Hale, 2003; Manning, 2003), or, as in the case of exemplar-based models, could consist of stored chunks of previously experienced language (Bod, 1998). We cannot rule out the possibility that the patterns of preferences observed in our experiment are (partially) conventionalized.<sup>10</sup> Note, however, that even if the observed patterns were to be completely conventionalized, this still leaves open the question of how they came to be conventionalized and, specifically, WHAT BIASES explain the DIRECTION OF THE CONVENTIONALIZATION (cf. Jaeger, 2006, 2010, for discussion). Our results suggest that a bias for robust information transfer can account for the direction of the effects we find: relative clauses are less predictable when headed by indefinite NPs; speakers therefore preferentially use (or have conventionalized the preferential use of) full verb forms (which convey a more robust signal) in these contexts.

# 4.3. WHAT IS THE MECHANISM?

How does a bias for robust information transfer come to affect production processes? One possibility is that speakers simulate the beliefs of their interlocutor, and make production decisions that take into account these estimates. Given the computational demands of speaking, this is often assumed to be unlikely (e.g., Ferreira, 2008, but see Tanenhaus, 2013). An alternative hypothesis is that communicative goals affect production preferences through lifelong and mostly implicit LEARNING (Jaeger & Ferreira, 2013,

<sup>[10]</sup> In Jaeger (2006), the second author found independent effects of collocation and predictability on that omission. This is compatible with the idea that the pattern we observe in Yucatec Maya is at least partially driven by on-line pressures.

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Kurumada & Jaeger, ms.).<sup>11</sup> On this view, speakers attend to feedback about the perceived communicative success of their own utterances, and then integrate this feedback into future production plans. This feedback may involve speakers' own evaluation of their productions (self-monitoring), as well as implicit and explicit feedback from their interlocutors. In contexts where the information is expected, speakers will be less likely to receive negative feedback from the use of less robust signals (e.g., reductions or omissions). Where, however, the intended message is less expected or less inferable, speakers will be more likely to receive some kind of negative feedback from less robust signals. This feedback will then, it is hypothesized, affect their subsequent production choices (for further discussion, see Kurumada & Jaeger, ms.).

A learning account along these lines has the theoretical advantage that it would not require speakers to continuously simulate their interlocutors. It receives empirical support from research on articulation suggesting that speakers monitor themselves, and integrate their own feedback into their later productions (e.g., Houde, 1998; Tourville, Reilly, & Guenther, 2008; Villacorta, Perkell, & Guenther, 2007). At the level of grammatical encoding, research on this question is still largely lacking; some initial support comes from a study by Roche, Dale, and Kreuz (2010), who found that English speakers in an interactive dialogue-based task were more likely to adjust their productions to avoid syntactic ambiguity when their previous productions were not communicatively successful. While this result encourages the view that communicative biases can enter language production through learning, further research is required to establish the extent to which this is possible, and the aspects of linguistic encoding that are most likely to be affected by learning. These remain promising and exciting directions for future study.

#### 5. Conclusion

A powerful aspect of the hypothesis of communicative efficiency is that its predictions are not bound to any particular level of linguistic representation. Consistent with this hypothesis, we have provided evidence that the effects of efficient information transmission are visible in the production of a typologically rare morphosyntactic alternation found in Yucatec Maya. These findings strengthen the possibility that communicative efficiency is a general computational strategy that shapes language production, both across language structures and across languages.

More generally, in the spirit of Christianson and Ferreira (2005), who, to the best of our knowledge, were the first to bring controlled sentence

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production research to 'the field', our study contributes to a small but growing body of work investigating sentence production in understudied languages (see, among others, Butler, Bohnemeyer, & Jaeger, 2014; Norcliffe, 2009a; Norcliffe & Konopka, in press; Norcliffe, Konopka, Brown, & Levinson, forthcoming; Santesteban, Pickering, & Branigan, 2013; Sauppe, Norcliffe, Konopka, Van Valin Jr., & Levinson, 2013). Head-marking languages constitute one particular language type that has traditionally been beyond the purview of psycholinguistic research, and about which little is currently known from a cognitive perspective (Jaeger & Norcliffe, 2009). Our study represents a small step towards increasing this knowledge, and, concomitantly, extending the empirical base against which psycholinguistic theories can be evaluated.

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#### APPENDIX A

In this appendix, we present additional follow-up analyses based on the form of the EMBEDDED NP. As discussed in the main text, the form of the embedded NP is critical when evaluating an account of our findings in terms of similarity-based interference (Gennari et al., 2012). Additionally, both availability-based production (e.g., Ferreira & Dell, 2000, and ambiguity avoidance accounts predict that the form of the embedded NP affects speakers' preference for the full relative clause verb form (with ku-). We first evaluate availability-based and ambiguity-avoidance accounts and test the similarity-based interference account.

The original stimuli in our experiment held the embedded NP (the object of the relative clause verb) constant within an item, and balanced the determiner type of the NP across items. Data loss led to some imbalance, however, and speakers did not consistently reproduce the determiner of the embedded NP exactly as they heard in the input (Table 4). For that reason we conducted an additional analysis that included the actual determiner of the embedded NP as a control variable. For this, we used Helmert coding, contrasting bare vs. indefinite determiners, and definite determines vs. the average of bare and indefinite determiners produced. No random slopes were included for this variable because it was not a design variable (Barr, Levy, Scheepers, & Tily, 2013). The model was otherwise identical to the second analysis reported above.

As shown in Table 5, our main predictor of interest (definiteness of the head NP) remains significant when the definiteness of the embedded NP is included as a control variable. Additionally, embedded NP definiteness also emerges as a significant predictor of verb form choice: the full verb form was more likely to be produced if the embedded NP was definite, rather than indefinite or bare.

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Produced			
Presented	indefinite	bare	definite
indefinite bare definite	77 0 2	32 100 26	32 28 141

 TABLE 4. Counts of actually produced determiner types of embedded NP by

 the determiner type presented in the recall stimulus

TABLE 5. Mixed logit regression of relative clause verb productions depending on definiteness and universal quantification of modified NP and definiteness of embedded NP produced by speaker

	$Coef \ \beta$	SE $(\beta)$	z	р	
Intercept	0.619	0.276	2.2	< .05	
RC verb type presented= <i>full</i>	0.657	0.124	5.3	<.0001	****
Modified NP=[+def.]	-0.512	0.250	-2.0	< .05	*
Modified NP=[+univ.]	-0.203	0.274	-0.7	> .5	
Embedded NP=[+bare] vs. [+indef.]	0.071	0.184	0.4	> .7	
Embedded NP=[+def.]. vs. [+other]	-0.466	0.087	-5.4	<.0001	****
Modified NP=[+def.]:[+univ.]	0.221	0.556	0.4	> .7	
RC verb=full:modified NP=[+def.]	0.016	0.243	0.1	>.9	
RC verb=full:modified NP=[+univ.]	-0.383	0.269	-1.4	> .2	
RC verb=full:[+def.]:[+univ.]	-0.214	0.545	-0.4	> .7	

Two mutually compatible explanations for this definiteness effect for the embedded NP deserve consideration. First, as described briefly in the discussion section, definiteness has been linked to the ease with which the referent, the NP, or the mapping between them are retrieved from memory (Bock & Warren, 1985). This would predict that definite embedded NPs might be available for articulation earlier than indefinite embedded NPs. Availability -or, ease of production of upcoming material - is known to affect sentence planning (Bock & Warren, 1985; Branigan et al., 2008; Brown-Schmidt & Konopka, 2008; Ferreira, 1996; Ferreira & Dell, 2000; Prat-Sala & Branigan, 2000). For example, when upcoming material is not available for production, speakers are more likely to lengthen preceding words (Fox Tree & Clark, 1997) or insert additional words (Clark & Fox Tree, 2002), including optional function words, such as optional that in English complement or relative clauses (Ferreira & Dell, 2000; Roland et al., 2006). Specifically, there is evidence that speakers are less likely to produce *that* if an object relative clause starts with a definite NP, compared to an indefinite NP (Elsness, 1984; Jaeger & Wasow, 2006; Tottie, 1995). However, these results come from conversational speech, in which definiteness is correlated with

previous mention (Prince, 1981). Since previous mention has been shown to affect *that*-production (Ferreira & Dell, 2000; Jaeger & Wasow, 2006; Temperley, 2003), it is thus unclear whether definiteness has any direct effect on availability. In our experiment, both definite and indefinite expressions were previously mentioned (due to the nature of the procedure, in which the critical data comes from recall of previously produced sentences).

An alternative interpretation holds that the strong association between full verb mention and the definiteness of the embedded NP is - perhaps partly grammaticalized – a consequence of ambiguity avoidance. Recall that the full verb form with ku- is compatible with an interpretation as either an object- or subject-extracted relative clause, while the reduced verb form only allows a subject-extracted relative clause interpretation. In our stimuli, we made the object-extracted interpretation very implausible, by keeping the embedded NP inanimate in all items (e.g., yielding implausible object-extracted interpretations such as ?? Rodrigo yelled at a/the/every waitress that the soup spilled). Still, these stimuli lead to a temporary ambiguity: until the semantics of the embedded NP are processed, the sentence remains compatible with two interpretations. Compared to an indefinite or bare NP, a definite determiner on the embedded NP following the full verb form may temporarily bias listeners towards the (unintended) object-extracted interpretation, in so far as definite NPs are more likely to be subjects. Producing the reduced verb form before definite embedded NPs ameliorates this problem, because it is only compatible with the subject-extracted interpretation. This possibility is consistent with proposals advanced in the Mayanist linguistics literature that the verbal alternation is driven by ambiguity avoidance, that is, by the necessity to accurately convey the grammatical functions assigned to the relative clause verb's arguments (see, e.g., Gutiérrez-Bravo & Monforte, 2009, for Yucatec, and for related Mayan languages, see Aissen, 2003, for Tzotzil, and Mondloch, 1978, for K'iche').

The possibility that Yucatec speakers are making production choices in ways that allow them to alleviate temporary ambiguity, and thus to guarantee the successful transmission of their intended message is broadly compatible with the idea that the principle of robust information transfer can influence processes of grammatical encoding. Producing the reduced verb form will increase the probability that listeners will correctly infer the grammatical function assigned to the relative clause head NP and to the embedded NP, and in this way will facilitate robust information transfer of the intended meaning. From the perspective of communicative efficiency, however, it is interesting to note that the alternant that guarantees the most faithful transmission of the intended message is the REDUCED alternant. No tradeoff is therefore required between production ease (a preference for shorter, more accessible forms) and signal robustness: it is the shorter, less morphologically complex form that provides the most robust signal, in terms of clarifying the intended MEANING of the structure.

While ambiguity avoidance might explain the embedded NP effect, it is unlikely to account for our main finding reported above, namely that the full relative clause verb form is more likely following indefinite modified NPs. Given that indefinite NPs are less likely to be subjects compared to definite NPs, if the choice between verb forms was driven by ambiguity avoidance alone, speakers should prefer the unambiguous (reduced) verb form where the modified NP is indefinite, because in such cases listeners should be biased towards an (unintended) object-extracted interpretation. Instead, speakers prefer the full form in such contexts. As noted in the 'Discussion', this is accounted for by communicative efficiency on the assumption that relative clauses are less expected following indefinite NPs: speakers prefer longer forms when the information conveyed by that form is less contextually expected.

Finally, we tested whether similarity-based interference could account for the effects of both the modified and the embedded NP. Recent crosslinguistic work has found that speakers' choice between different types of relative clauses (e.g., a passive subject-extracted relative clause, rather than active object-extracted relative clause; Gennari et al., 2012) may be driven by a preference to produce semantically similar NPs further apart from each other. Similar similarity-based interference effects have been found in comprehension (Gordon, Hendrick, Johnson, & Lee, 2006; Gordon, Hendrick, & Levine, 2002; Lewis & Nakayama, 2001).

Here we tested whether similarity-based interference affected Yucatec speakers' preference to produce or omit *ku*-. First, we tested whether adding an interaction between the definiteness of the modified NP and the three-way contrast of the embedded NP improved the model reported above. This was not the case ( $\chi^2(2) = 2.54$ , p > .28). Second, we asked whether adding a predictor to the above model that coded whether the modified and embedded NP were similar (i.e., both definite or both indefinite and both not universally quantified) improved the model. This too was not the case ( $\chi^2(1) = 2.26$ , p > .13). The current data thus reveal no evidence for similarity-based interference.

#### APPENDIX B: experimental stimuli

- Juane' tu yilah tuláakal artista (ku) póolik hun p'éel tùunich Juane' tu yilah le artista (ku) póolik hun p'éel tùunicho' Juane' tu yilah hun tuul artista (ku) póolik hun p'éel tùunich 'Juan watched every/the/a artist that was carving a stone'
- X-Maríae' tu yáantah tuláakal pasahero (ku) b'isik hun p'éel maleta X-Maríae' tu yáantah le pasahero (ku) b'isik hun p'éel maletao'

X-Maríae' tu yáantah hun túul pasahero (ku) b'isik hun p'éel maleta 'Maria helped every/the/a passenger that was carrying a suitcase'

- 3. Pedroe' tu ts'ú'uts'ah tuláakal xunáan (ku) k'áak'tik le b'ak'o' Pedroe' tu ts'ú'uts'ah le xunáan (ku) k'áak'tik le b'ak'o' Pedroe' tu ts'ú'uts'ah hun túul xunáan (ku) k'áak'tik le b'ak'o' 'Pedro kissed every/the/a lady that was roasting the meat'
- X-Laurae' tu atendertah tuláakal máak (ku) manik anis X-Laurae' tu atendertah le máak (ku) manik aniso' X-Laurae' tu atendertah hun túul máak (ku) manik anis 'Laura served every/the/a person who was buying anis'
- X-Juliae' tu p'o'ah tuláakal x-ch'úupal (ku) tóokik le si'o' X-Juliae' tu p'o'ah le x-ch'úupal (ku) tóokik le si'o' X-Juliae' tu p'o'ah hun túul x-ch'úupal (ku) tóokik le si'o' 'Julia washed every/the/a girl that was burning the wood'
- Robertoe' tu entrevistartah tuláakal periodista (ku) ts'íib'tik hun p'éel articulo Robertoe' tu entrevistartah le periodista (ku) ts'íib'tik hun p'éel articuloo' Robertoe' tu entrevistartah hun túul periodista (ku) ts'íib'tik hun p'éel articulo
   'Boberto interviewed every/the/a journalist that was writing an article'
  - 'Roberto interviewed every/the/a journalist that was writing an article'
- 7. Jaimee' tu chukah tuláakal h-ts'òon (ku) p'e'esik kéeh Jaimee' tu chukah le h-ts'òon (ku) p'e'esik kéeh Jaimee' tu chukah hun túul h-ts'òon (ku) p'e'esik kéeh 'Jaime discovered every/the/a hunter that was skinning deer'
- X-Carlae' tu yáalkab'tah tuláakal winik (ku) páanik chí'ikam X-Carlae' tu yáalkab'tah le winik (ku) páanik chí'ikamo' X-Carlae' tu yáalkab'tah hun túul winik (ku) páanik chí'ikam 'Carla followed every/the/a man that was digging up jicama'
- X-Gabrielae' tu b'ó'otah tuláakal h-p'o' (ku) tikinkúunsik nòok' X-Gabrielae' tu b'ó'otah le h-p'o' (ku) tikinkúunsik nòok'o' X-Gabrielae' tu b'ó'otah hun túul h-p'o' (ku) tikinkúunsik nòok' 'Gabriela paid every/the/a laundryman that was drying clothes'
- Miguele' tu t'anah tuláakal doktor (ku) b'èetik le ts'àako' Miguele' tu t'anah le doktor (ku) b'èetik le ts'àako' Miguele' tu t'anah hun túul doktor (ku) b'èetik le ts'àako' 'Miguel called every/the/a doctor that was preparing the medicine'
- 11. X-Adrianae' tu ká'ansah tul´aakal xí'ipal (ku) presentartik hun p'éel examen

X-Adrianae' tu ká'ansah le xí'ipal (ku) presentartik hun p'éel exameno' X-Adrianae' tu ká'ansah hun túul xí'ipal (ku) presentartik hun p'éel examen

'Adriana taught every/the/a boy who was taking an exam'

Rafaele' tu hé'elsah tuláakal choofer (ku) manehartik hun p'éel camioneta
 Rafaele' tu hé'elsah le choofer (ku) manehartik hun p'éel camionetao'
 Rafaele' tu hé'elsah hun túul choofer (ku) manehartik hun p'éel camioneta
 'Rafael stopped every/the/a driver that was driving a truck'

Rafael stopped every/the/a driver that was driving a truck

- X-Valeriae' tu b'estirtah tuláakal k'ohá'an (kuy) uk'ik le harabeo' X-Valeriae' tu b'estirtah le k'ohá'an (kuy) uk'ik le harabeo' X-Valeriae' tu b'estirtah hun túul k'ohá'an (kuy) uk'ik le harabeo' 'Valeria dressed every/the/a patient that was taking the syrup'
- 14. Victore' tu kastigartah tuláakal h-xòok (ku) ts'uutsik hun p'éel chamal Victore' tu kastigartah le h-xòok (ku) ts'uutsik hun p'éel chamalo' Victore' tu kastigartah hun túul h-xòok (ku) ts'uutsik hun p'éel chamal 'Victor punished every/the/a student that was smoking a cigarette'
- 15. Raquele' tu machah tuláakal h-ch'úuk (ku) grabartik le tsikb'alo' Raquele' tu chuukah le h-ch'úuk (ku) grabartik le tsikb'alo' Raquele' tu chuukah hun túul h-ch'úuk (ku) grabartik le tsikb'alo' 'Raquel caught every/the/a spy that was recording the conversation'
- 16. Manuele' tu yú'ub'ah tuláakal musiko (ku) paxik le marimbao' Manuele' tu yú'ub'ah le musiko (ku) paxik le marimbao' Manuele' tu yú'ub'ah hun túul musiko (ku) paxik le marimbao' 'Manuel listened to every/the/a musician that was playing the marimba'
- 17. Luciae' tu yawatah tuláakal pàal (kuy) óokoltik hun p'éel wáah Luciae' tu yawatah le pàal (kuy) óokoltik hun p'éel wáah Luciae' tu yawatah hun túul pàal (kuy) óokoltik hun p'éel wáah 'Lucia shouted at every/the/a boy that was stealing a tortilla'
- 18. Rodrigoe' tu k'eyah tuláakal x-meesera (ku) wekik le sopao' Rodrigoe' tu k'eyah le x-meesera (ku) wekik le sopao' Rodrigoe' tu k'eyah hun túul x-meesera (ku) wekik le sopao' 'Rodrigo scolded every/the/a waitress that was spilling the soup'
- 19. Alejandroe' tu kashtah tuláakal x-kó'olel (ku) hit'ik hun p'éel xàak Alejandroe' tu kashtah le x-kó'olel (ku) hit'ik hun p'éel xàako' Alejandroe' tu kashtah hun túul x-kó'olel (ku) hit'ik hun p'éel xàak 'Alejandro found every/the/a woman that was weaving a basket'

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- 20. Alvaroe' tu poch'ah tuláakal xìib' (ku) chakik k'éek'en Alvaroe' tu poch'ah le xìib' (ku) chakik k'éek'eno' Alvaroe' tu poch'ah hun túul xìib' (ku) chakik k'éek'en 'Alvaro insulted every/the/a man that was boiling pig'
- 21. Celiae' tu ts'onah tuláakal h-wàach (ku) kaláantik le hòolo' Celiae' tu ts'onah le h-wàach (ku) kaláantik le hòolo' Celiae' tu ts'onah hun túul h-wàach (ku) kaláantik le hòolo' 'Celia shot every/the/a soldier that was guarding the entrance'
- 22. Josee' tu tá'akah tuláakal h-kòonol (ku) konik xí'im Josee' tá'akah le h-kòonol (ku) konik xí'imo' Josee' tá'akah hun túul h-kòonol (ku) konik xí'im 'Jose protected every/the/a vendor that was selling corn'
- 23. Rosae' tu chíimpoltah tuláakal actor (ku) mèentik le teatroo' Rosae' tu chíimpoltah le actor (ku) mèentik le treatoo' Rosae' tu chíimpoltah hun túul actor (ku) mèentik le teatroo' 'Rosa congratulated every/the/a actor that was performing the play'
- 24. Fernando tu ché'ehtah tuláakal turista (ku) t'anik maya'-t'àan Fernando tu ché'ehtah le turista (ku) t'anik maya'-t'àan Fernando tu ché'ehtah hun túul turista (ku) t'anik maya'-t'àan 'Fernando laughed at every/the/a tourist that was speaking Maya'