**Gene expression**

**Improved detection of overrepresentation of Gene-Ontology annotations with parent–child analysis**

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Received on May 21, 2007; revised on August 3, 2007; accepted on August 20, 2007

Advance Access publication September 11, 2007

Associate Editor: Trey Ideker

**ABSTRACT**

**Motivation:** High-throughput experiments such as microarray hybridizations often yield long lists of genes found to share a certain characteristic such as differential expression. Exploring Gene Ontology (GO) annotations for such lists of genes has become a widespread practice to get first insights into the potential biological meaning of the experiment. The standard statistical approach to measuring overrepresentation of GO terms cannot cope with the dependencies resulting from the structure of GO because they analyze each term in isolation. Especially the fact that annotations are inherited from more specific descendant terms can result in certain types of false-positive results with potentially misleading biological interpretation, a phenomenon which we term the inheritance problem.

**Results:** We present here a novel approach to analysis of GO term overrepresentation that determines overrepresentation of terms in the context of annotations to the term’s parents. This approach reduces the dependencies between the individual term’s measurements, and thereby avoids producing false-positive results owing to the inheritance problem. ROC analysis using study sets with over-represented GO terms showed a clear advantage for our approach over the standard algorithm with respect to the inheritance problem. Although there can be no gold standard for exploratory methods such as analysis of GO term overrepresentation, analysis of biological datasets suggests that our algorithm tends to identify the core GO terms that are most characteristic of the dataset being analyzed.

**Availability:** The Ontologizer can be found at the project homepage http://www.charite.de/ch/medgen/ontologizer

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1 INTRODUCTION

High-throughput experiments such as microarray hybridizations often result in a list of genes (the study set) found to share a certain characteristic such as differential expression, and researchers are then confronted with the question of what differentiates the genes in the study set from the usually much larger set of all genes on a microarray chip (the population set). Exploring Gene Ontology annotations in this and in similar contexts has become a widespread practice to get first insights into the potential biological meaning of the experiment.

The Gene Ontology (GO) provides structured, controlled vocabularies and classifications for several domains of molecular and cellular biology (Ashburner et al., 2000). GO is structured into three domains, molecular function, biological process and cellular component. The terms of the GO form a directed acyclic graph (DAG), whereby individual terms are represented as nodes connected to more specific nodes by directed edges, such that each term is a more specific child of one or more parents. For instance, mismatch repair is a child of (more specific instance of) DNA repair. The Gene Ontology Annotation (GOA) Database and several other groups provide annotations for genes or gene products (hereafter simply referred to as genes) of over 50 species (Camon et al., 2004a). The true-path rule is a convention which states that whenever a gene is annotated to a term it is also implicitly associated with all the less specific parents of that term.

The most commonly used statistical test involves the hypergeometric distribution. This approach gives a straightforward and simple measure for the overrepresentation of an individual GO term, and we therefore use the term term-for-term approach to describe it (see Fig. 1 and Methods Section). It is applied to all terms individually and generally combined with some correction method for multiple testing to produce a list of terms which are accepted as being significantly overrepresented in the study set. A number of tools have been developed that implement a term-for-term analysis using the hypergeometric distribution or similar analyses, most of which are listed at the GO website (Gene Ontology Consortium, 2006).

The drawback of the term-for-term approach is that it does not respect dependencies between the GO terms that are caused by overlapping annotations. As a result of the true-path rule, each term in GO shares all the annotations of all of its descendants. A second source of overlapping annotations is that individual genes can be associated with multiple unrelated terms that are not connected in the GO DAG except by the root term.

In Alexa et al. (2006), two algorithms were presented which try to decorrelate the GO graph structure by processing the GO DAG in a bottom-up fashion, i.e. from most specific to least specific terms. In the first method, referred to as elim, the authors propose to eliminate the genes from the sets once
they have been found to be associated with a GO term flagged as significant. Because this procedure can miss significant GO terms at less specific levels of the GO graph, the authors developed a second algorithm, which is referred to as weight. It examines connected nodes in the GO graph and downweights genes that are annotated by less significant neighbors. A similar algorithm was implemented in the GOstats package of Bioconductor (Falcon and Gentleman, 2007).

We have developed a novel approach to statistical analysis of GO term overrepresentation that examines each term in the context of its parent terms, which we call the parent–child approach. A preliminary presentation of this approach was presented in a conference paper (Grossmann et al., 2006). A related approach was mentioned as a part of a larger comparative analysis of yeast and bacterial protein interaction data in Sharan et al. (2005). However, algorithmic details were not given and a systematic comparison with the term-for-term approach was not carried out. Here, we develop two versions of the parent–child approach which we both compare systematically with each other and with the term-for-term approach as well as elim and weight to show their superiority over these approaches.

2 METHODS

2.1 Background: the term-for-term analysis

Denote the population set as $P$ and the study set as $S$ with sizes of $m$ and $n$, respectively. Suppose that the term for which we want to measure overrepresentation is $t$. Let $P_t$ be the set of genes annotated to $t$ with cardinality $m_t$, $S_t$ and $n$ are analogously defined for genes in the study set $S$ that are annotated to $t$. The situation is depicted in Figure 1A.

Suppose now that $S$ is a set of size $n$ sampled randomly without replacement from $P$, and let $\sigma_t$ be the number of genes in $S$ that are annotated to term $t$. The probability of observing exactly $\sigma_t$ annotations can then be calculated according to the hypergeometric distribution:

$$P(\sigma_t = k) = \binom{m_t}{k} \binom{n-m_t}{n-k} / \binom{n}{k},$$

where, in general, $\binom{w}{k}$ is the number of ways of choosing a set containing $n$ distinct elements out of a set of size $m$.

As we are interested in knowing the probability of seeing $n_t$ or more annotated genes, we sum the term in (1) from $k = 1$ to $n_t$ to the maximum possible number of annotations. This is equivalent to a one-sided Fisher exact test:

$$p(S) := P(\sigma_t \geq n_t) = \sum_{k=n_t}^{\min(n,n_t)} \frac{\binom{m_t}{k} \binom{n-m_t}{n-k}}{\binom{n}{k}}.$$  

2.2 The parent–child approaches

Denote by $p(a)$ the parents of $t$ and for simplicity suppose first that $t$ has only a single parent. The probabilities involved the parent–child approaches are very similar to the one calculated in (1) except for conditioning on the event that the overlap of the random set $\Sigma$ with $P_{p(a)}(t)$ is exactly as observed in the study set. From the true-path rule it follows that $m_t = m_{p(a)}$ and the setting is illustrated in Figure 1B. Now, the probability of there being exactly $\sigma_t$ annotations is:

$$P(\sigma_t = k | p(a)) = \frac{\binom{m_t}{k} \binom{n-m_t}{n-k}}{\binom{n}{k}}.$$  

To calculate significance, we sum over the probabilities for seeing $n_{p(a)}$ or more annotations up to $\min(m_t, n_{p(a)})$ in an analogous manner to Equation (2).

If term $t$ has more than one parent, it is not immediately apparent how to calculate the conditional probability in (3) (Grossmann et al., 2006). We have chosen to examine in detail two approaches which lead...
to solutions with a similar formal and computational complexity as the single-parent solution.

For the first approach, which we call parent-child-union, we define the sets of parents of a term \( t \) in the population and study set as the union of genes annotated the parents of \( t \):

\[
P_{\text{pa}(t)} := \bigcup_{s \in \text{pa}(t)} P_s, \quad S_{\text{pa}(t)} := S \cap P_{\text{pa}(t)}
\]

Therefore, we let \( m_{\text{pa}(t)} \) and \( n_{\text{pa}(t)} \) be the number of genes annotated to any of the parents of the respective sets.

For the second approach, which we call parent-child-intersection, we define the sets of parents of a term \( t \) as the intersection of genes that are annotated to the parents of \( t \):

\[
P_{\text{pa}(t)} := \bigcap_{s \in \text{pa}(t)} P_s, \quad S_{\text{pa}(t)} := S \cap P_{\text{pa}(t)}
\]

Hence, we count the number of genes annotated to all of the parents.

2.3 The elim and weight approaches

Both approaches were implemented as described in Alexa et al. (2006) except that we left out the direct Bonferroni adjustment of the elim method. For the weight method we chose \( \text{sigRatio}(a, b) = \frac{a}{b} \) for weighting a parent-child node pair.

2.4 Gene Ontology terms and associations

Definitions of GO terms and associations between genes and GO terms were downloaded from the Gene Ontology consortium (Ashburner et al., 2000) website at http://www.geneontology.org/. The associations for yeast and human used in this article were provided by the Saccharomyces Genome Database (Dwight et al., 2002) and by the EBI (Camon et al., 2004b). The data were downloaded on 26 June 2007 and comprised of 449 annotated terms.

2.5 All-subset minimal \( P \)-values

Unlike the term-for-term approach, the parent-child approaches capture a relative overrepresentation. Here, we introduce a measure which quantifies how well this is possible for a given term \( t \). This all-subset minimal \( P \)-value is defined as

\[
P_{\text{min}}(t) := \min_{S \subseteq P} p(S) = p(P_t)
\]

and marks the least \( P \)-value we can get by any possible study set. This set conforms to the study set made up exactly of all terms in the population that are annotated to \( t \), or \( P_t \). We use the measure to filter out terms for which it is impossible to get a significant \( P \)-value regardless of the study set. For instance, if the annotations of a term \( t \) match those of its parent exactly, then \( p_{\text{min}}(t) = 1 \).

2.6 Constructing artificial study sets

Artificial study sets with overrepresentation of a single term \( t \) were generated by sampling without replacement a term percentage \( f_{\text{term}}(t) \% \) of genes annotated to \( t \) from the population together with a noise percentage \( f_{\text{noise}} \% \) of genes not annotated to \( t \). The population set \( P \) consisted of all yeast genes annotated to at least a single term.

To create a study set with overrepresentation of two terms \( t_1 \) and \( t_2 \) from one of the three subontologies with \( f_{\text{term}}(t_1) \) and \( f_{\text{term}}(t_2) \) and one noise percentage \( f_{\text{noise}} \% \) parameter, \( f_{\text{term}}(t_1) \% \) percent of the genes are sampled from \( P_{t_1} \) as above. It is possible that some genes annotated to \( t_2 \) are also annotated to \( t_1 \) and have already been included in the study set. Therefore, genes are sampled from \( P_{t_2} \) only as necessary to obtain \( f_{\text{term}}(t_2) \% \) percent of genes. Finally, \( f_{\text{noise}} \% \) percent genes are sampled from \( P \setminus (P_{t_1} \cup P_{t_2}) \), i.e. genes that are not annotated to either of the terms.

In this work, study sets were created as described using the population set of all genes in Saccharomyces cerevisiae that are annotated to at least one GO term. For some experiments, study sets were created only for terms for which the all-subset minimal \( P \)-values for both parent-child approaches are below \( 1 \times 10^{-7} \), in order to consider only terms for which it is possible to detect an overrepresentation with the methods under evaluation. This resulted in a total of 1115 different terms.

2.7 Dataset

The analysis of differential expression in the data derived from Kunikata et al. (2005) was performed using the limma package (Smyth, 2004) of Bioconductor (Gentleman et al., 2004). The set of all differentially expressed genes was used as the study set, and the population set was taken to be all genes represented on the microarray.

3 RESULTS

3.1 The inheritance problem of the term-for-term approach

We start by showing that the term-for-term approach is flawed because it does not take dependencies between parent and child terms into account. To do so, an artificial study set was constructed from S. cerevisiae data by overrepresenting the term DNA repair (GO:0006281) by including \( f_{\text{term}}(t) = 50\% \) of all genes annotated to the term and \( f_{\text{noise}}(t) = 10\% \) of the remaining terms in the population. We calculated the term-for-term \( P \)-value for each term and corrected for multiple testing with the resampling-based Westfall–Young approach (Westfall and Young, 1993) using 1000 resamplings. As expected, the term DNA repair itself is significantly overrepresented. A number of other terms are also flagged as significantly overrepresented including three children of DNA repair (Fig. 2). This is particularly surprising because it implies that there is more specific information in the dataset than has been put into it by means of its construction.

Observe that this also implies that the other eight children of DNA repair are not interesting for the study set. Both statements are not supported by the data in Table 1. We claim that this is an undesired effect that is caused by the fact that the term-for-term approach ignores the structure of the GO DAG.

This problem is of importance for researchers using such an analysis to explore the results of microarray or similar experiments. Given the results of the above example, a researcher might be tempted to examine recombinational repair specifically and neglect postreplication repair and the other children of DNA repair that were not flagged as significant. We consider this behavior of the term-for-term \( P \)-values to be a major drawback of the method and will refer to it as the inheritance problem.

3.2 Parent–child analysis outperforms term-for-term analysis with respect to the inheritance problem

The parent–child methods measure overrepresentation of a term \( t \) in the context of annotations to the parents of the term. Figure 1B presents an intuitive explanation of the approaches. We have examined two versions of algorithm which we call parent–child-union and parent–child-intersection. Details are provided in the Methods section.
Fig. 2. Artificial overrepresentation of the GO term DNA repair. This term belongs to the biological process subontology, and we therefore restricted the analysis to terms in this subontology. (A) Term-for-term analysis. A subset of the GO graph with the significantly overrepresented terms and all their less specific parents is shown. Significantly overrepresented terms are highlighted in green. A total of 12 terms had a corrected P-value below the significance level of 0.05. (B) Parent–child-intersection analysis. None of the descendants of DNA repair are flagged as significant.

Table 1. Detailed data for the children of the term DNA repair from the analysis of the artificial study set

<table>
<thead>
<tr>
<th>Term ID</th>
<th>Term name</th>
<th>mt</th>
<th>nt</th>
<th>pt</th>
<th>nt</th>
<th>m/nm (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO:0006302</td>
<td>Double-strand break repair</td>
<td>41</td>
<td>19</td>
<td>0.0</td>
<td>46.3</td>
<td></td>
</tr>
<tr>
<td>GO:0006289</td>
<td>Nucleotide-excision repair</td>
<td>31</td>
<td>15</td>
<td>0.001</td>
<td>48.4</td>
<td></td>
</tr>
<tr>
<td>GO:000725</td>
<td>Recombinational repair</td>
<td>19</td>
<td>10</td>
<td>0.005</td>
<td>52.6</td>
<td></td>
</tr>
<tr>
<td>GO:0006298</td>
<td>Mismatch repair</td>
<td>20</td>
<td>9</td>
<td>0.077</td>
<td>45</td>
<td></td>
</tr>
<tr>
<td>GO:000726</td>
<td>Non-recombinational repair</td>
<td>24</td>
<td>9</td>
<td>0.261</td>
<td>37.5</td>
<td></td>
</tr>
<tr>
<td>GO:0006307</td>
<td>DNA dealylation</td>
<td>3</td>
<td>3</td>
<td>0.599</td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>GO:0006284</td>
<td>Base-excision repair</td>
<td>10</td>
<td>3</td>
<td>1.0</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>GO:001995</td>
<td>Bypass DNA synthesis</td>
<td>1</td>
<td>1</td>
<td>1.0</td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>GO:0045021</td>
<td>Error-free DNA repair</td>
<td>4</td>
<td>2</td>
<td>1.0</td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>GO:0006301</td>
<td>Postreplication repair</td>
<td>11</td>
<td>4</td>
<td>1.0</td>
<td>36.4</td>
<td></td>
</tr>
<tr>
<td>GO:0006290</td>
<td>Pyrimidine dimer repair</td>
<td>1</td>
<td>1</td>
<td>1.0</td>
<td>100</td>
<td></td>
</tr>
</tbody>
</table>

Notation: mt: number of genes associated with the term in the population set; nt: number of genes associated with the term in the study set; pt: corrected term-for-term P-value. P-values with stars (*) are significant (p < 0.05). Terms are ordered by increasing p-values.

For the following experiments, we created 1115 study sets for S. cerevisiae genes in which one GO term was overrepresented as described in the Methods section. The study sets were analyzed with all methods to get the raw P-values, which were used to perform receiver operator characteristics (ROC) analysis for all combinations of term percentages of 75, 50 and 25% and noise percentages of 10 and 20%. In all settings, the parent–child approaches outperform the term-for-term approach. Moreover, the parent–child-intersection approach gives better results than the parent–child-union approach (Fig. 3).

All approaches lose their power with increasing noise percentage and decreasing term percentage. At the one extreme of a very weak signal, where \( f_{\text{term}} = 25\% \) and \( f_{\text{noise}} = 20\% \), the term-for-term approach hardly performs better than the random method, whereas the parent–child approaches still have some ability to detect the overrepresented terms. With \( f_{\text{term}} = 75\% \) and \( f_{\text{noise}} = 10\% \) the parent–child-intersection approach perfectly separates the overrepresented terms from their subterms. The performance advantage of the parent–child methods is similar when two terms are simultaneously overrepresented (Fig. 3).

The parent–child algorithms were designed especially to avoid false-positive results related to the inheritance problem, and the results presented above clearly demonstrate that they are superior to the term-for-term and the elim or weight algorithms in this regard. We note however that each of the three methods interrogates conceptually different measures of the significance of overrepresentation, and it is unclear whether a comparison such as that presented in Figure 3 is a fair comparison of the different methods. We therefore performed a similar ROC analysis using different subsets of GO terms with and without the restriction to terms satisfying a \( p_{\text{min}} \) value of \( 10^{-7} \). When all terms are taken into consideration (i.e. not just the descendants of the overrepresented term), then the weight algorithm is superior to the parent–child algorithms for some but not all of the combinations of term and noise percentage in this setting, however, both algorithms are inferior to the term-for-term approach (Table 2). If all terms in the entire GO graph are considered that achieve a \( p_{\text{min}} \) value of \( 10^{-7} \) or better, then the parent–child methods are superior for all combinations tested.

### 3.3 Performance of the parent–child and term-for-term methods under multiple testing corrections

We next compared the performance of the term-for-term and parent–child procedures using multiple testing correction. We did not use ROC analysis because P-values that are nominally corrected to values more than 1 are truncated to 1. Moreover, the study sets have different sizes, resulting in
Fig. 3. ROC analysis of GO-term overrepresentation. The ROC curve plots the true positive rate of detecting the overrepresented term as significant as a function of the false-positive rate by which descendants of the term are flagged as significant, for varying P-value thresholds $c \in [0, 1]$. A perfect classifier results in a higher significance for $t$ than for all other terms and receives a ROC score of 1.0. A random classifier would receive a score of $\frac{1}{2}$. The first two columns show results for single-term overrepresentation at the specified term percentages and noise percentages. For the third column, a total of 1000 different pairs of terms from the same subontology with a $p_{\text{min}}$ below $1 \times 10^{-7}$ for both parent-child approaches were sampled to construct the study sets. The performance of the different methods is comparable to the single-term case. Results for all combinations of term percentage from 25 to 90% and noise percentage from 5 to 25% showed a similar advantage for the parent-child algorithm (data not shown).
Table 2. ROC scores for selected studies

<table>
<thead>
<tr>
<th>Setting</th>
<th>TIT</th>
<th>PCU</th>
<th>PCI</th>
<th>Elim</th>
<th>Weight</th>
<th>TIT</th>
<th>PCU</th>
<th>PCI</th>
<th>Elim</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/75/10</td>
<td>0.953</td>
<td>0.873</td>
<td>0.690</td>
<td>0.748</td>
<td>0.883</td>
<td>0.695</td>
<td>0.849</td>
<td>0.748</td>
<td>0.560</td>
<td>0.850</td>
</tr>
<tr>
<td>1/50/10</td>
<td>0.916</td>
<td>0.829</td>
<td>0.662</td>
<td>0.734</td>
<td>0.808</td>
<td>0.655</td>
<td>0.804</td>
<td>0.717</td>
<td>0.544</td>
<td>0.737</td>
</tr>
<tr>
<td>1/25/20</td>
<td>0.790</td>
<td>0.724</td>
<td>0.608</td>
<td>0.659</td>
<td>0.649</td>
<td>0.587</td>
<td>0.702</td>
<td>0.665</td>
<td>0.494</td>
<td>0.576</td>
</tr>
<tr>
<td>1/25/20</td>
<td>0.528</td>
<td>0.529</td>
<td>0.515</td>
<td>0.508</td>
<td>0.470</td>
<td>0.457</td>
<td>0.523</td>
<td>0.588</td>
<td>0.439</td>
<td>0.422</td>
</tr>
<tr>
<td>2/75/10</td>
<td>0.943</td>
<td>0.887</td>
<td>0.707</td>
<td>0.722</td>
<td>0.882</td>
<td>0.621</td>
<td>0.822</td>
<td>0.770</td>
<td>0.491</td>
<td>0.861</td>
</tr>
<tr>
<td>2/75/20</td>
<td>0.920</td>
<td>0.863</td>
<td>0.669</td>
<td>0.735</td>
<td>0.834</td>
<td>0.602</td>
<td>0.799</td>
<td>0.735</td>
<td>0.497</td>
<td>0.828</td>
</tr>
<tr>
<td>5/75/10</td>
<td>0.922</td>
<td>0.877</td>
<td>0.684</td>
<td>0.704</td>
<td>0.852</td>
<td>0.584</td>
<td>0.798</td>
<td>0.760</td>
<td>0.476</td>
<td>0.868</td>
</tr>
</tbody>
</table>

The left part lists the results of studies performed on all terms whereas the middle part shows the results when only the subterms of enriched terms are considered. The right part shows the result when considering only those terms with a term-for-term approach. Here, the first number represents the number of overrepresented terms, the second number the term percentage and the last number the noise percentage. The best ROC score for a given combination of settings is shown in bold for each of the three testing scenarios described above.

Different $P$-value correction ranges. Instead, we generated 2000 completely random study sets of size 250 and analyzed them with all three approaches each combined with two procedures for multiple testing corrections which control the FWER, the Bonferroni correction and the resampling-based Westfall–Young correction (Westfall and Young, 1993) with 5000 resamplings (Fig. 4).

As expected, the plots show that the Bonferroni procedure is much too conservative for all approaches. The best performance is given by the Westfall–Young correction in combination with either of the parent–child approaches. Here, exact control of the FWER is given. Interestingly, there seem to be some discretization effects when combining the Westfall–Young correction with the term-for-term approach. This can be explained by the fact that there are a large number of terms with equal $P$-values in the random study sets.

3.4 A biological example

In the following, we present an analysis of a dataset resulting from an experiment on the role of the prostaglandin E3 receptor EP3 (Kunikata et al., 2005), in which saline (control)-challenged mice were compared against mice exposed to ovalbumin to induce asthma. We identified 246 differentially regulated genes with at least one GO annotation. Analysis with parent-child-union identified 17 overrepresented terms, analysis with parent-child-intersection identified 10 terms and analysis with term-for-term identified 63 terms. Figure 5 shows a portion of the graph emanating from biological process.

The term immune response has a total of nine children. The term immune response identifies five of them as being significantly overrepresented, as well as numerous more distant descendants while parent-child-union identifies only immune response as being significantly overrepresented. This does not mean that the terms emanating from immune response are not important according to this analysis, merely that there is no statistical evidence to suggest that one particular descendent is more important than the others. The parent-child-intersection approach is generally more conservative than the parent-child-union approach. It identifies physiological response to stimulus as significant, which is an ancestor of immune response. Both parent-child methods identify other terms that characterize the dataset as being an allergic response including MHC class II receptor activity, antigen binding and immunoglobulin complex.
Fig. 5. Comparison of the three algorithms using real datasets. The study set is made up of genes differentially regulation between mice stimulated with ovalbumin to induce asthma and mice stimulated with saline (control). An excerpt of the GO graph is shown; each term has up to three bars denoting whether one of the three methods flagged the term as significantly overrepresented. The top bar represents the term-for-term approach, the middle bar represents the parent–child-union approach and the bottom bar represents parent–child-intersection. It is apparent that the term-for-term approach identifies many of the descendant terms of response to external stimulus and immune response as significant.
4 DISCUSSION

In this work, we have presented a novel algorithm for analysis of overrepresentation of annotations to GO terms. The parent–child procedure measures overrepresentation conditional on annotations to the parent of any term, whereas previous approaches measure overrepresentation of each term in isolation. We have shown that the parent–child procedure outperforms the standard procedure on two statistical measures. A second phenomenon that differentiates term-for-term from parent–child analysis is that the term-for-term approach is able to pick up skewed distributions of annotations among the children of a given term. Although the amount of annotations to these terms might not be significant if analyzed in isolation, using the parent–child approaches, such skewed distributions may be identified. For instance, Liu et al. (2004) analyzed regulation of signaling genes by TGFβ during the Caenorhabditis elegans larval arrest stage (dauer). A number of genes showed regulation, including many hedgehog-related genes. The parent–child-union method, but not the term-for-term method, identified hedgehog receptor activity as significant, because 6/16 (38%) annotations of the parent term transmembrane receptor activity are inherited from the term hedgehog receptor activity, whereas in the population only 16 of the 864 annotations to transmembrane receptor activity are inherited from hedgehog receptor activity (6.4%).

The parent–child approaches conceptually measure the overrepresentation of terms in a different way than the term-for-term approach, and it is important to keep this in mind when interpreting results. In almost all datasets we have analyzed, the parent–child approaches identify a smaller number of terms as significantly overrepresented, and the term-for-term approach will flag many of the descendants of these terms as being overrepresented as well. Our results suggest that the term-for-term approach leads to false-positive results in these cases, in that the measured ‘overrepresentation’ results from the structure of the GO DAG and the number of annotated genes rather than truly reflecting the biology of the experiment at hand. There is an obvious danger for misleading interpretations of term-for-term analysis.

In contrast to the elim/weight approaches, the results of the parent–child approaches are derived from a single statistic for each term. Therefore, but also because the parent–child approaches’ computational complexity matches the complexity of term-for-term, more sophisticated multiple test corrections which are based on permutations such as Westfall–Young can be applied easily.

The results of our ROC analysis of the parent–child, term-for-term and elim/weight algorithms showed that each of the methods has a performance advantage in certain testing scenarios. It was recently shown that the elim/weight methods have an advantage over the term-for-term approach among the top 150–615 significant genes Alexa et al. (2006). Given that the parent–child methods analyze a different measure of overrepresentation than the term-for-term and elim/weight methods, it is not clear which testing scenarios can be used to fairly compare the predicted accuracy of these methods on biological data. Our analysis clearly shows that the parent–child approaches are best able to cope with the inheritance problem. Further experience with newer methods such as the ones presented here and in Alexa et al. (2006) and Falcon and Gentleman (2007) will be required to estimate their usefulness for evaluating biological experiments.

5 CONCLUSION

There is no gold standard for the analysis of biological datasets for overrepresentation of GO terms, and any comparisons between methods are bound to be to some extent anecdotal. However, we have shown that the term-for-term approach can produce false-positive, and potentially biologically misleading results because it does not take the graph structure of GO into account. Our analysis using artificial datasets suggests that the parent–child approach avoids many of these problems. We provide an open-source Java implementation of the term-for-term and both parent–child algorithms within the framework of the Ontologizer at http://www.charite.de/chmedgen/ontologizer/.

ACKNOWLEDGEMENTS

The research of S.B. and P.N.R. was supported by the SFB 760 grant of the Deutsche Forschungsgemeinschaft (DFG).

Conflicts of Interest: none declared.

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